

Carbon Nanotubes

C₆₀

$(n,m) = (5,5)$

b

C₇₀

$(n,m) = (9,0)$

c

C₈₀

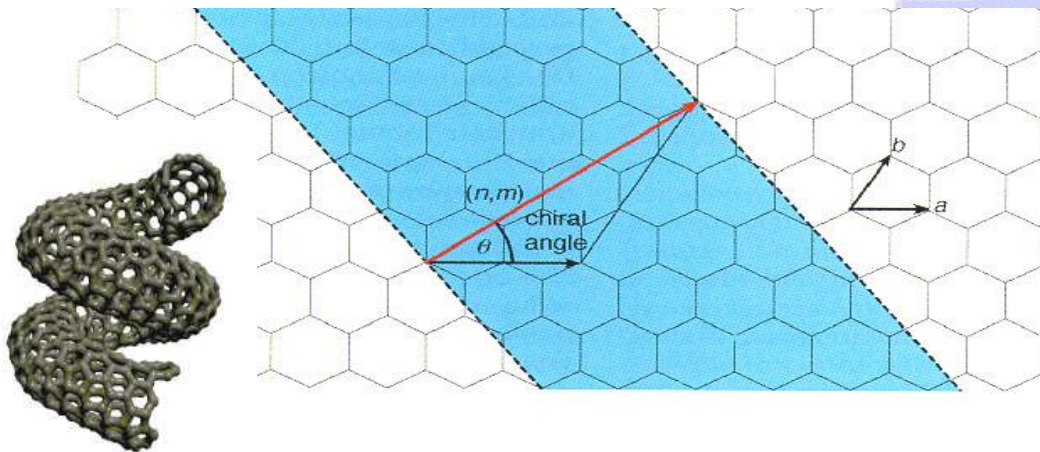
a

$(n,m) = (10,5)$

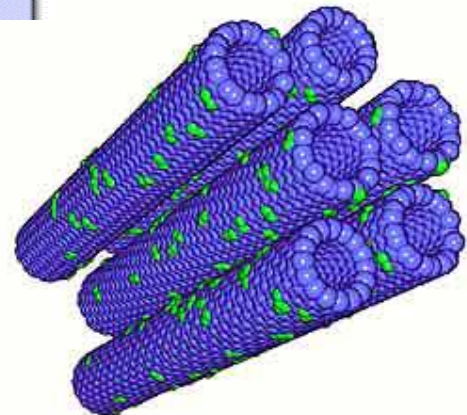
d

**Elettra- Sincrotrone Trieste S.C.p.A., s.s. 14 Km 163,5
in Area Science Park, 34012 Trieste, Italy**

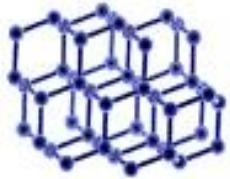
Elettra Synchrotron Light Source



e



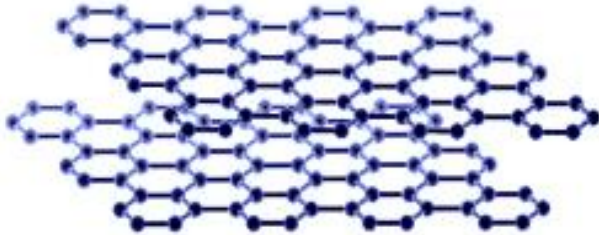
Carbon Allotropes



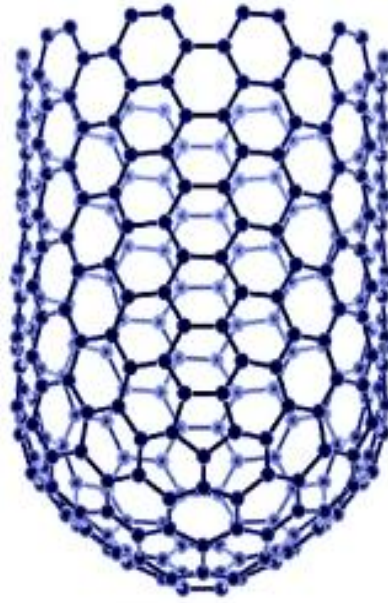
Diamond



Fullerene



Graphite



Tubes

Up to 1985 the only two allotropic form of carbon were known: graphite and diamond.

1985 - discovery of C_{60} (Smalley, Kroto and Curl) and then of higher fullerenes and nanotubes (Iijima 1991).

Very promising systems:

A wide range of transport properties, from insulators to high-temperature superconductors.

Nanotubes can be used for novel electronic devices.

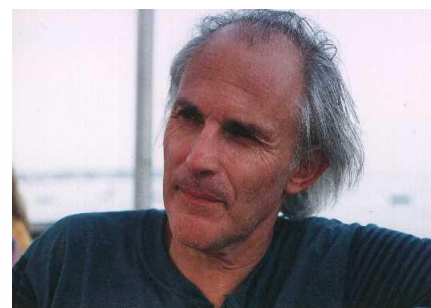
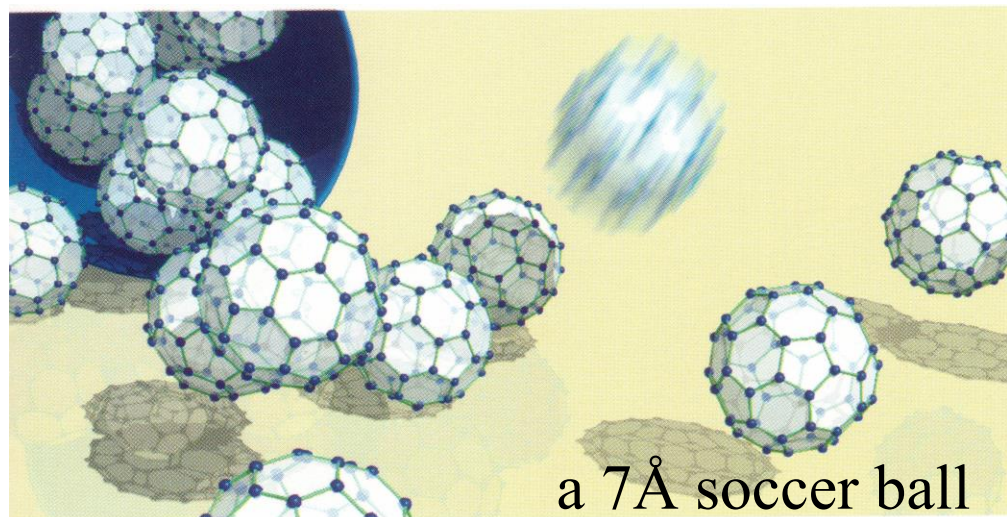
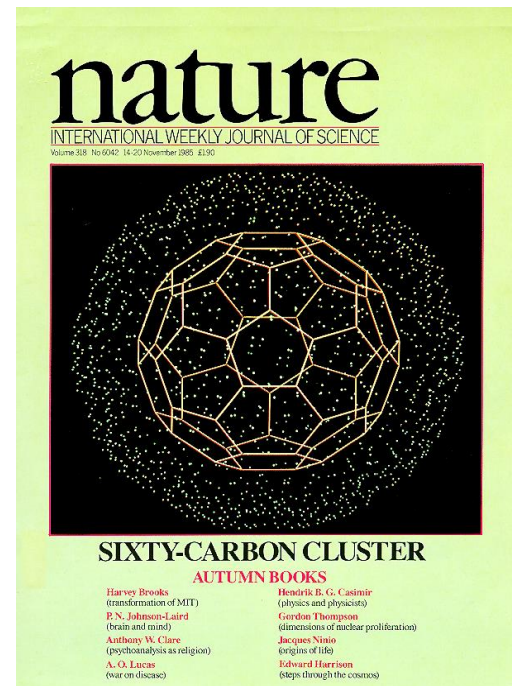
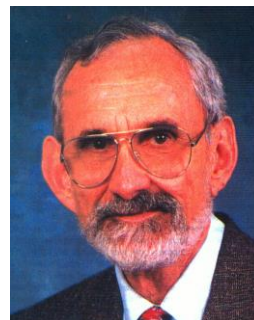
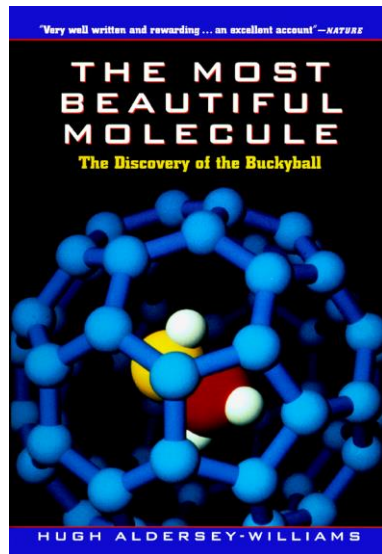
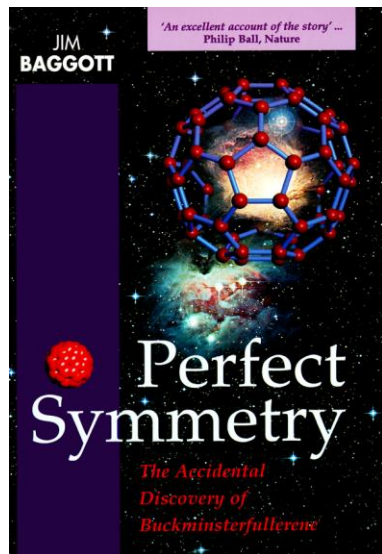
Their marked mechanical properties make fullerenes and nanotubes serious competitors to composite materials.

Nanotechnology: A new mantra of the 21st century, the next industrial revolution

Fullerenes and Nanotubes are Fundamental Building Blocks

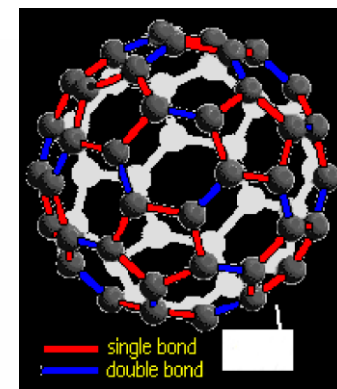
Buckminsterfullerene - C₆₀

The 1996 Nobel Prize for Chemistry



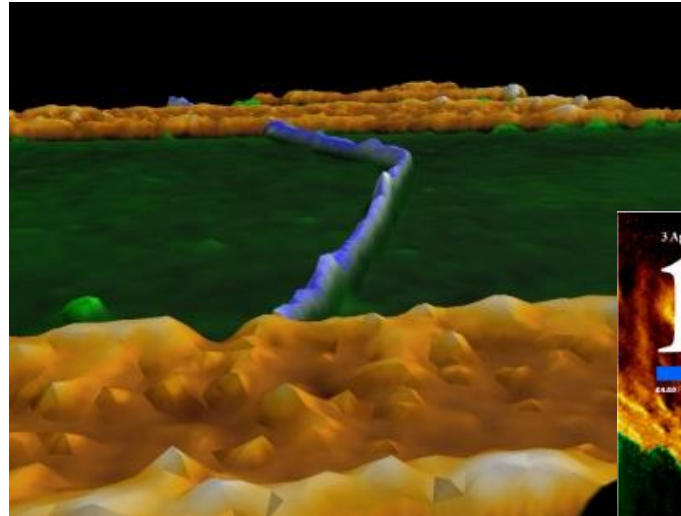
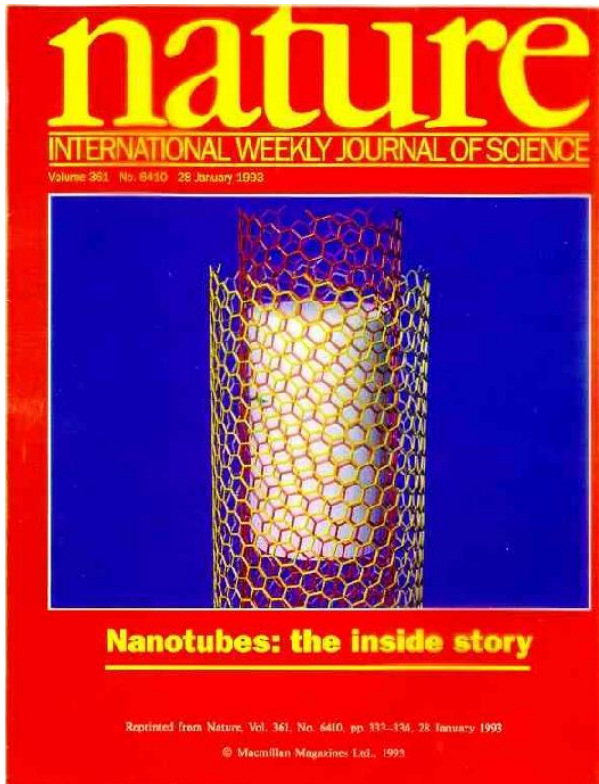
*A fascinating new group
of carbon molecules*

**Fullerenes: a whole
new ball game**



Why are nanotube important?

They are a new and fascinating physical system at the nanoscale



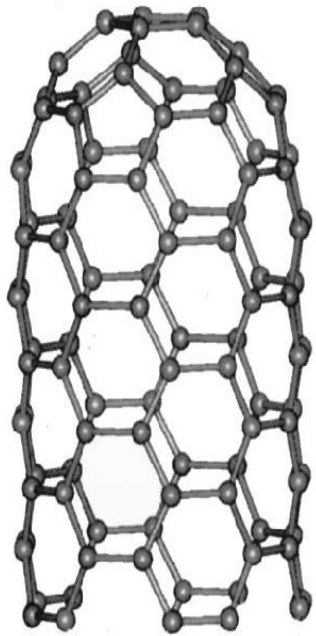
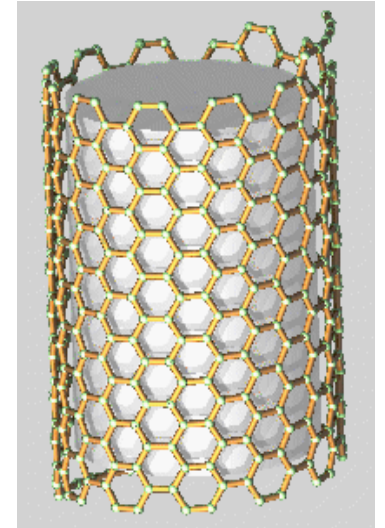
They hold substantial promise for applications as super-strong fibers and in novel electronic devices



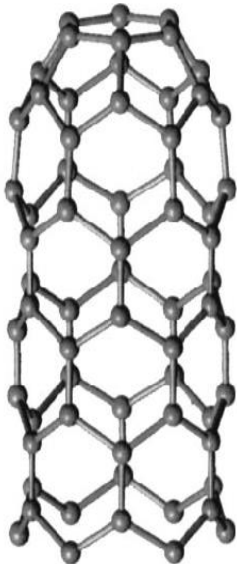
Carbon Nanotubes (CNTs)

CNT is a tubular form of carbon with diameter as small as < 1 nm.
Length: few nm to microns.

CNT is configurationally equivalent to a two dimensional graphene sheet rolled into a tube.



C_{60}



C_{36}



C_{20}

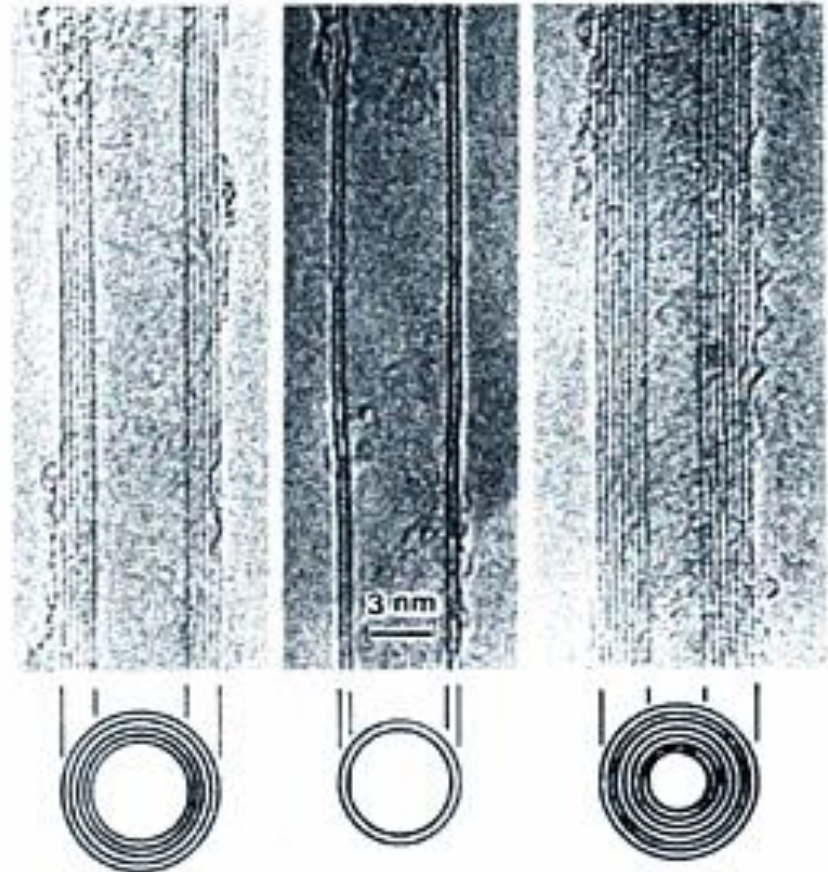
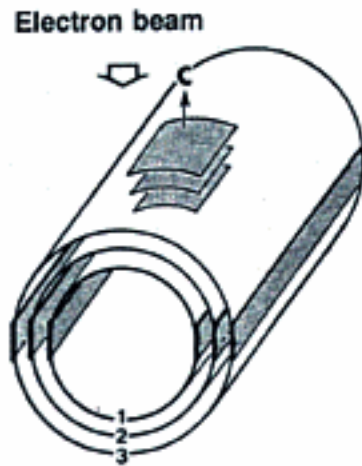
CNTs can be single-wall or multi-walls.
Open-end or Close-end.

CNTs exhibit extraordinary mechanical properties: Young's modulus over 1 Tera Pascal, as stiff as diamond, and tensile strength ~ 200 GPa.

CNTs can be metallic or semiconducting depending on the way the graphene sheet is rolled-up.

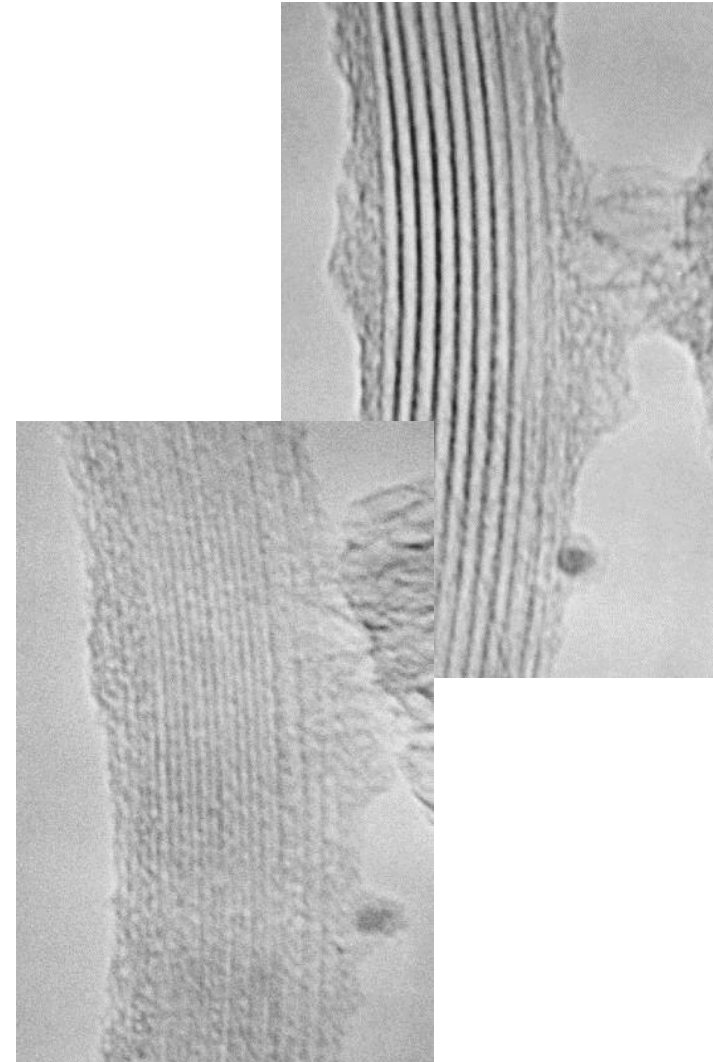
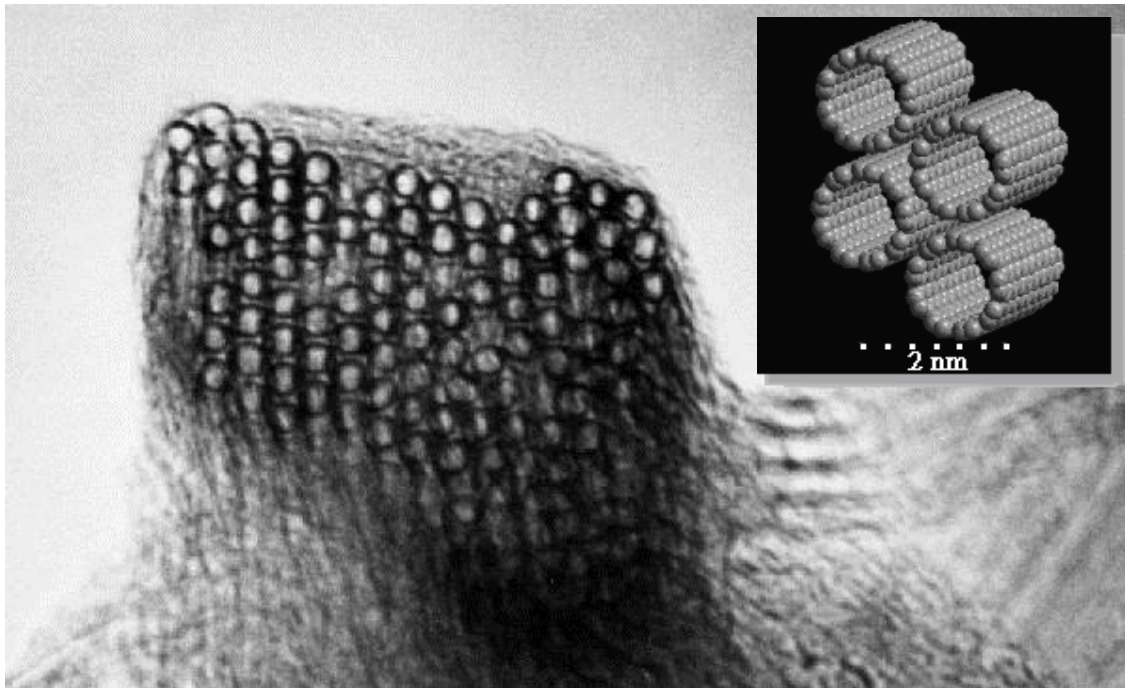
Carbon Nanotubes (CNTs)

TEM images of a variety of **MWCNTs**

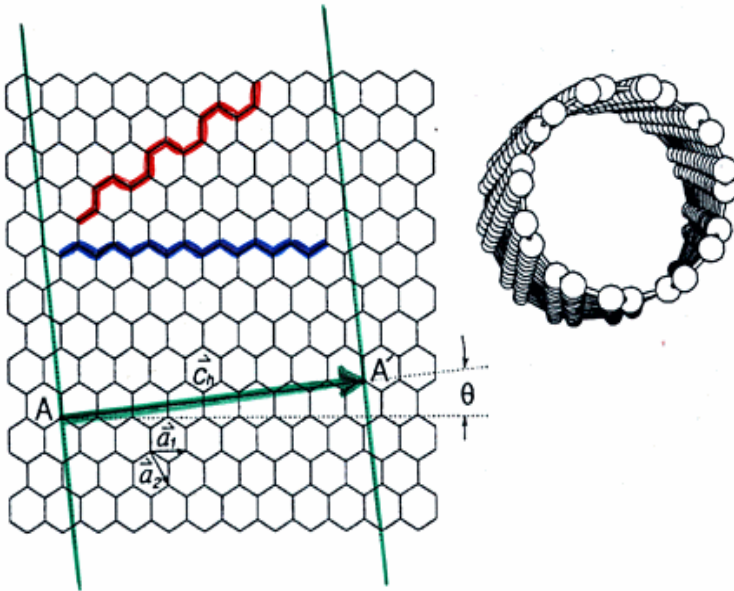


Carbon Nanotubes (CNTs)

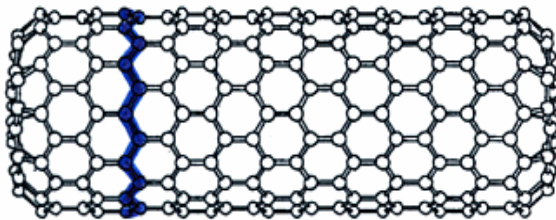
SWCNTs are typically aligned in triangular lattices forming **bundles** (lattice constant ≈ 1.7 nm; intertube separation ≈ 0.32 nm)
Sets of bundles form **ropes**



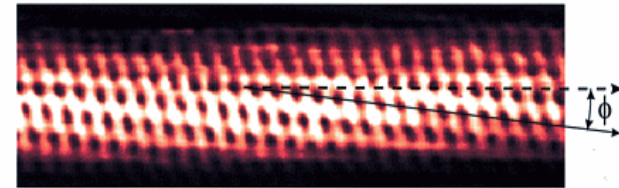
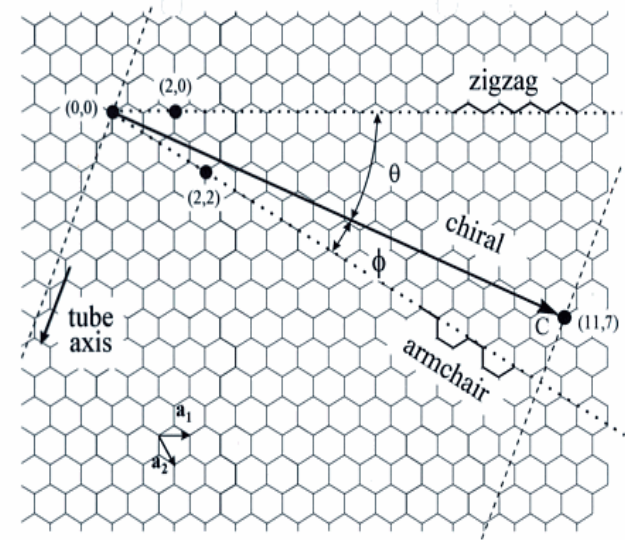
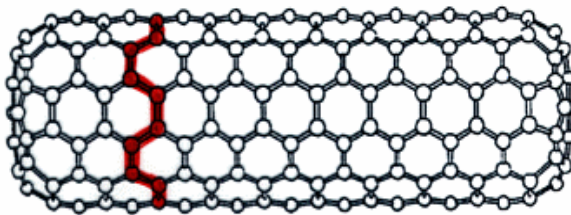
Various types of nanotubes



'zigzag'



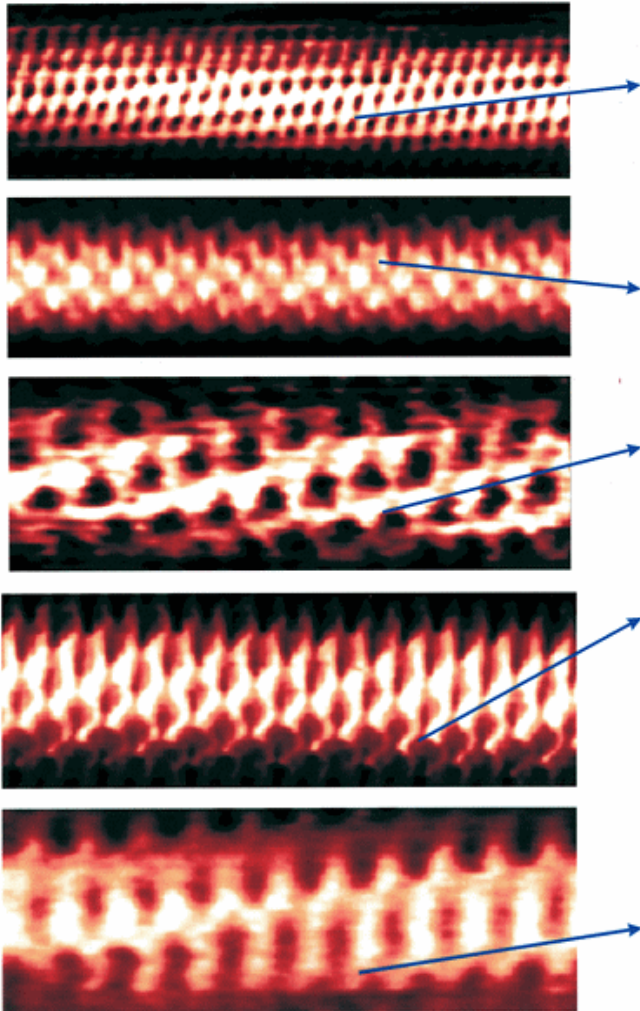
'armchair'



CNT diameter and chirality are defined by a vector perpendicular to the tube axis.

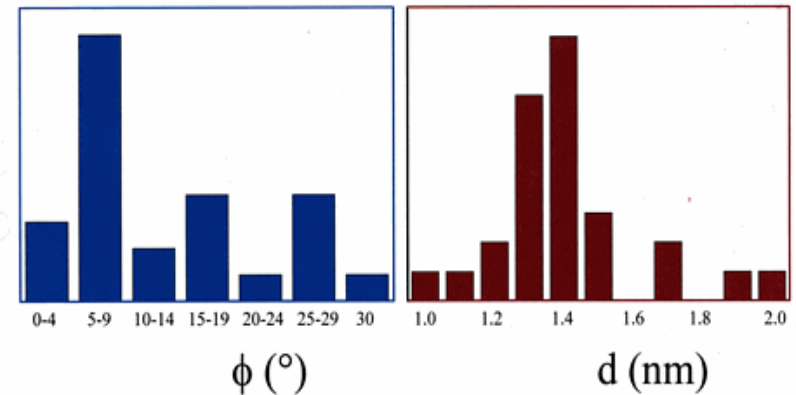
All possible tubes are obtained by spanning the vector between the armchair and zigzag directions.

large variety of chiral angles



→ Tube axis

Distribution chiral angles / diameters



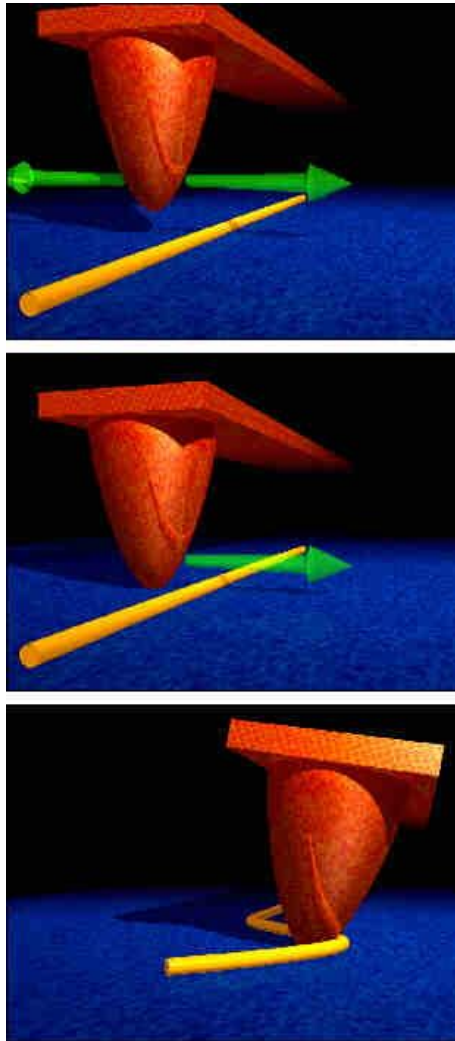
Large variety in chiral angle:

$$0^\circ < \phi < 30^\circ$$

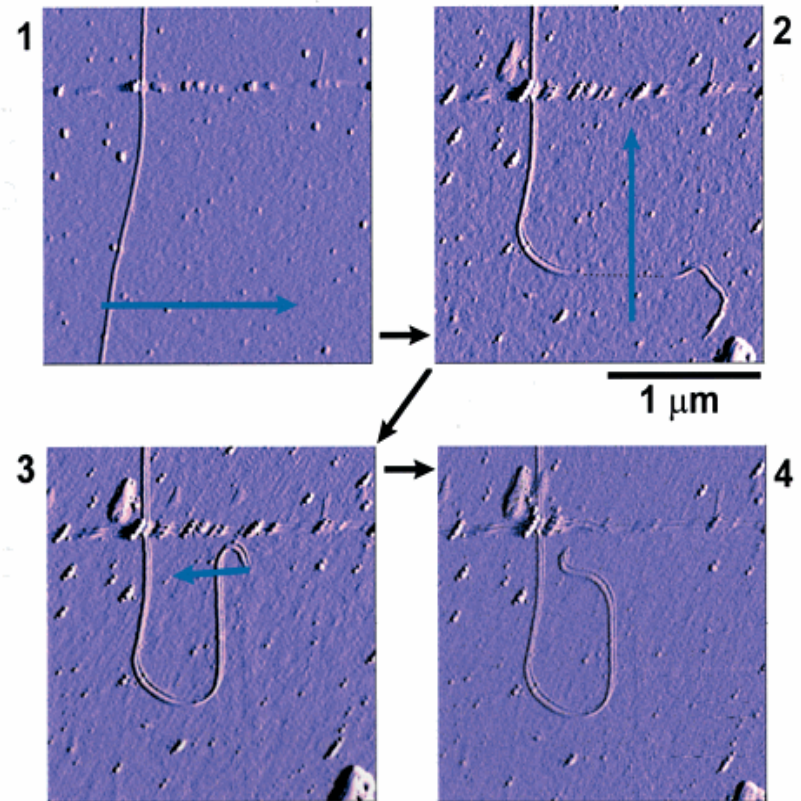
Diameter d around 1.2-1.5 nm

Scanning Tunneling Microscope (STM) allows the visualization of CNT at the atomic scale.

Nanotube manipulation



single-wall carbon nanotubes on SiO_2

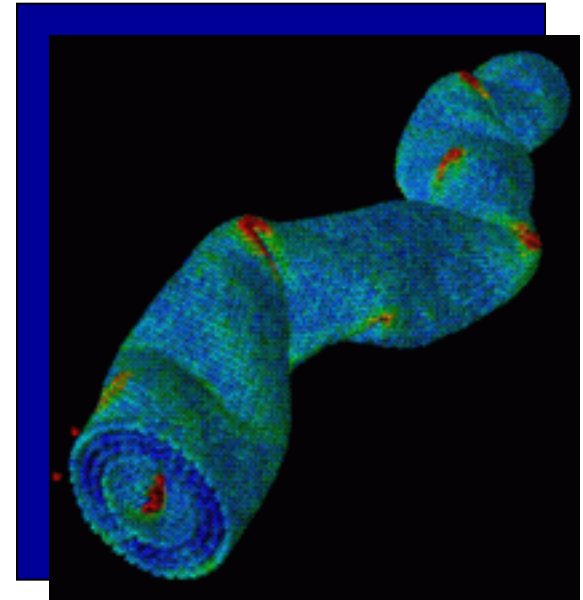
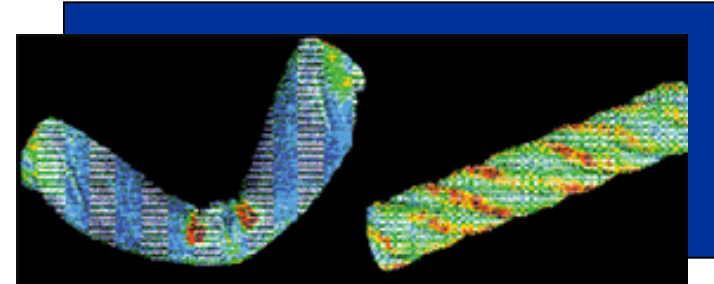


The AFM and STM tips can move, bend, shift and collect nanotubes, like the fork does with spaghetti

CNT Properties



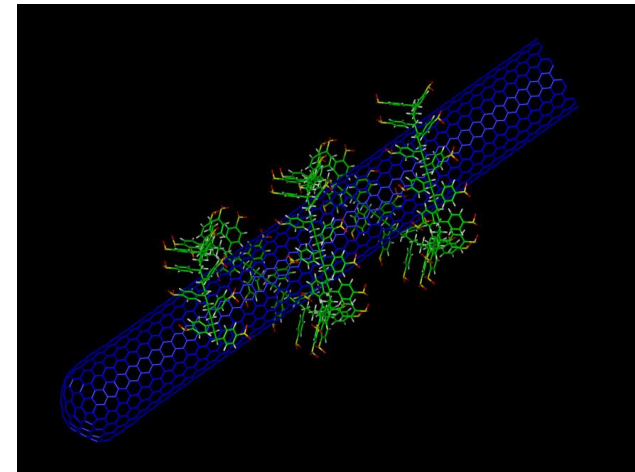
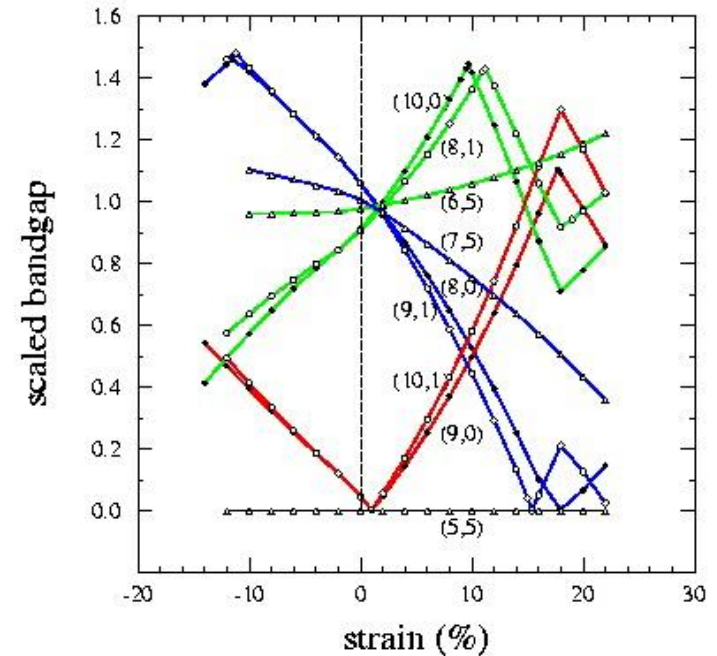
- The strongest and most flexible molecular material because of C-C covalent bonding and seamless hexagonal network architecture
- Young's modulus of over 1 TPa vs 70 GPa for Aluminum, 700 GPa for C-fiber
 - strength to weight ratio 500 times > for Al; similar improvements over steel and titanium; one order of magnitude improvement over graphite/epoxy
- Maximum strain 10-30% much higher than any material
- Thermal conductivity ~ 3000 W/mK in the axial direction with small values in the radial direction



CNT (electronic) Properties



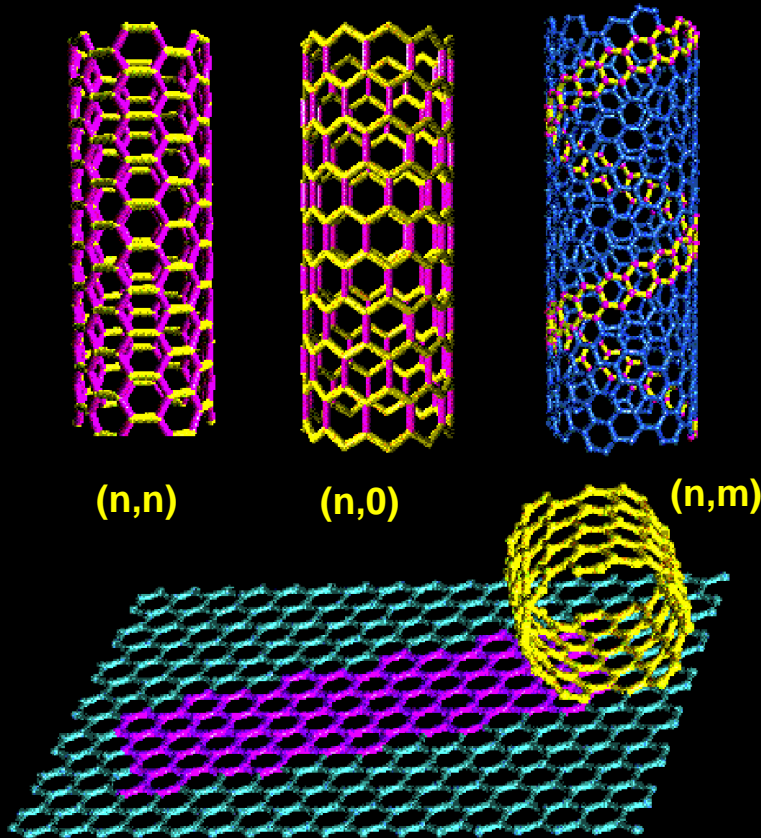
- Electrical conductivity six orders of magnitude higher than copper
- CNT can be metallic or semiconducting depending on chirality and diameter
 - ‘tunable’ bandgap
 - electronic properties can be tailored through application of external magnetic field, mechanical deformation, absorption of gases, doping ...
- Very high current carrying capacity
- Excellent field emitter; high aspect ratio and small tip radius of curvature are ideal for field emission
- Can be functionalized



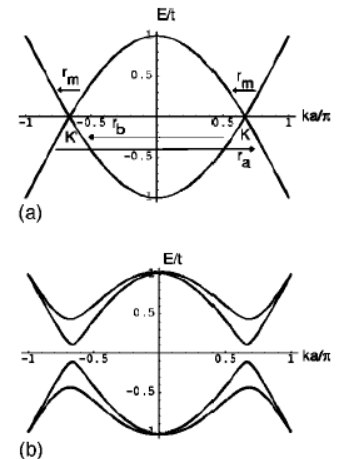
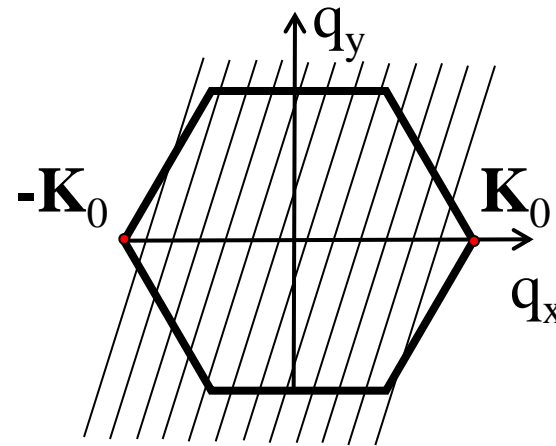
SWCNTs electronic structure: summary

Graphitic Tubules

Helical Symmetry



- **Armchair** (n,n) – metals
- **Zig-Zag** (n,0) – mostly semiconductors
- **Chiral** (n,m) $n \neq m$ – mostly semiconductors and insulators

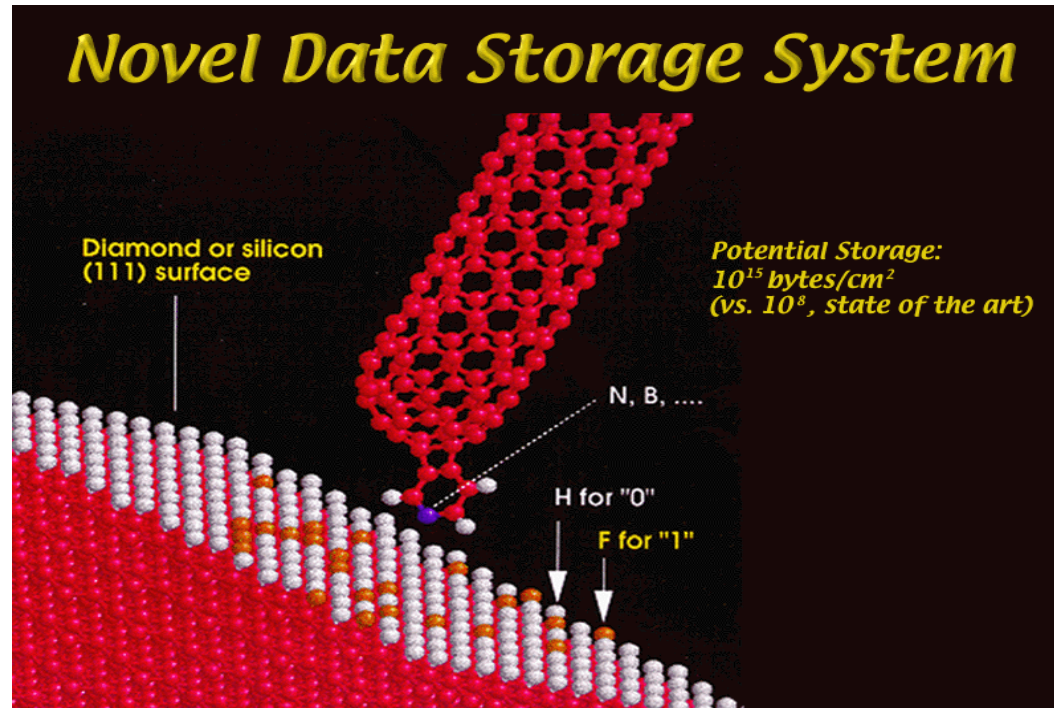


- **mod(3) rule**: if $(n-m)=3l$ the tube might be metallic

CNT Applications: Electronics

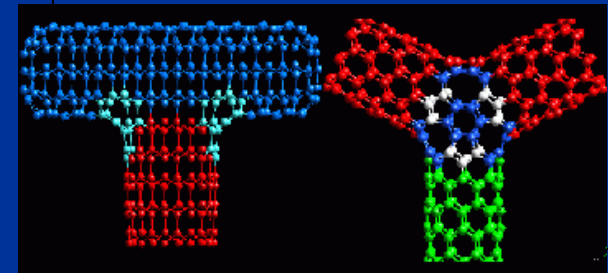


- CNT quantum wire interconnects
- Diodes, transistors and flip-flop for computing
- Capacitors
- Data Storage
- Field emitters for instrumentation
- Flat panel displays
- THz oscillators



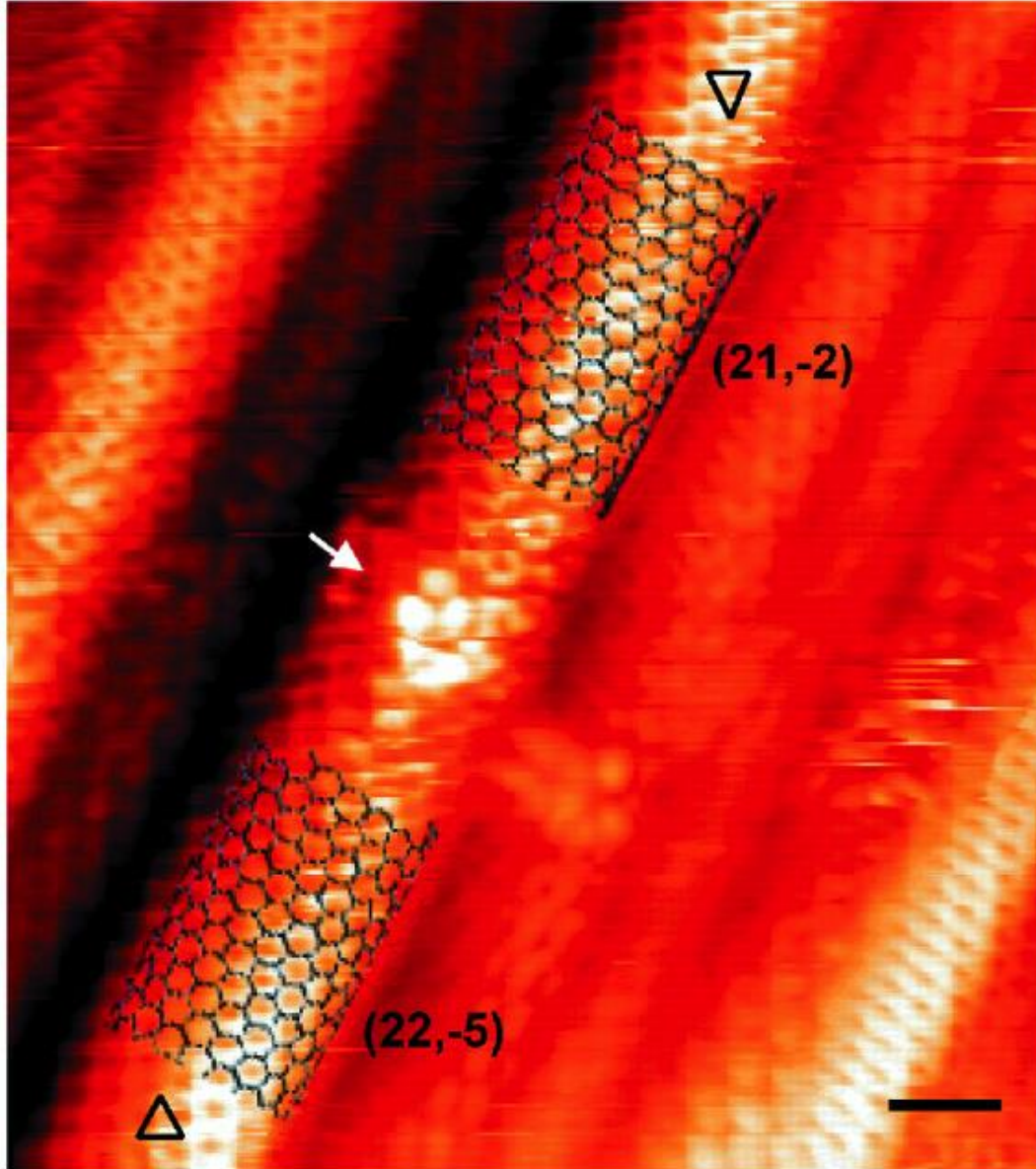
Challenges

- Control of diameter, chirality
- Doping, contacts
- Novel architectures (not CMOS based!)
- Development of inexpensive manufacturing processes

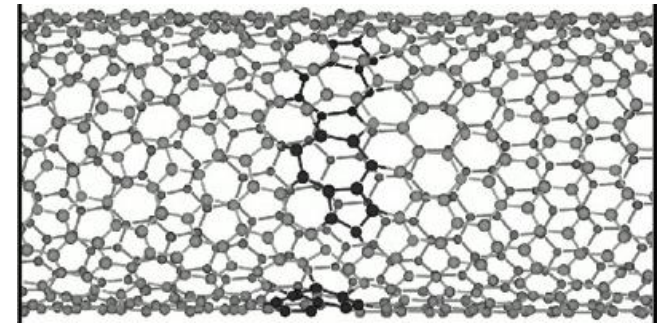


CNT "T" and "Y" Junctions

CNT welding: wire connections, diodes ...

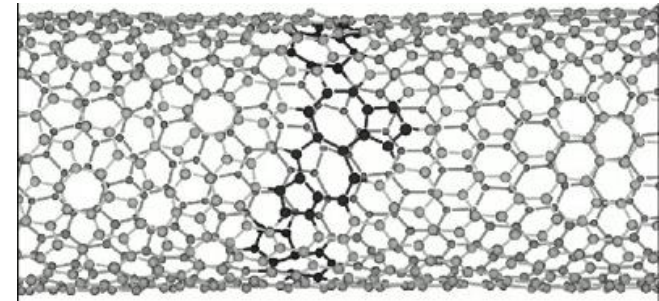


Model I



(22,-5)

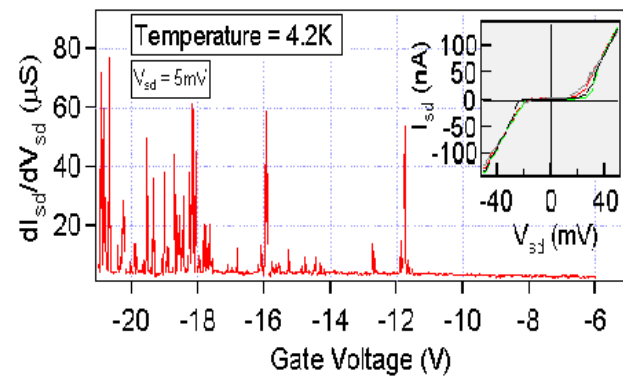
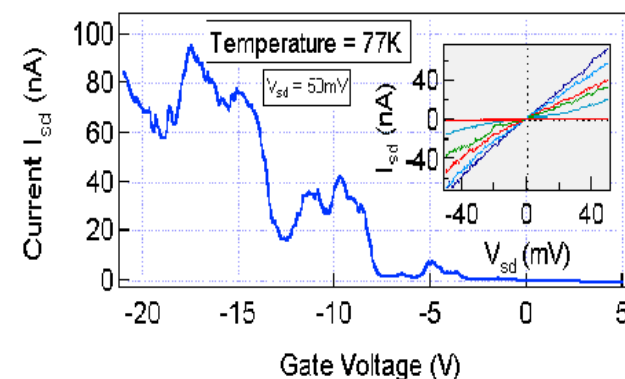
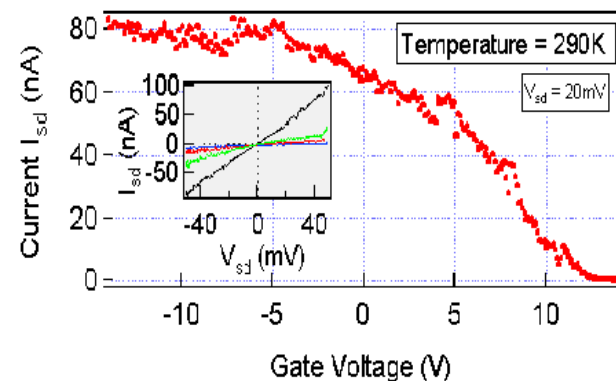
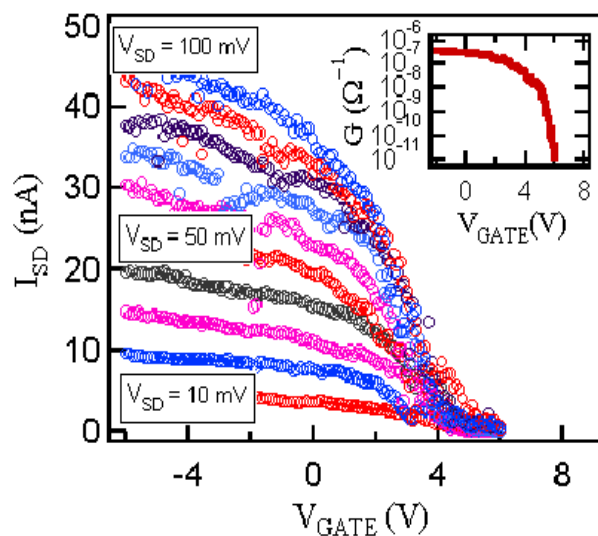
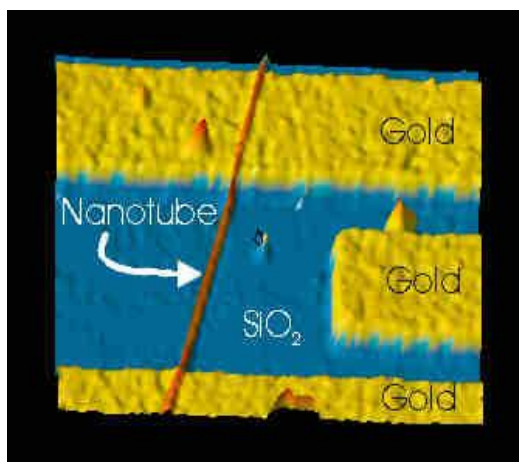
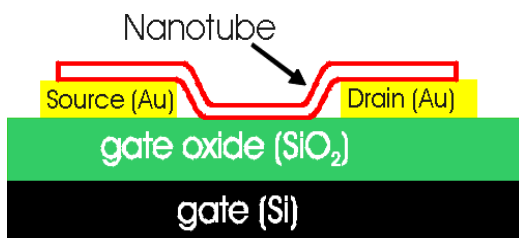
(21,-2)



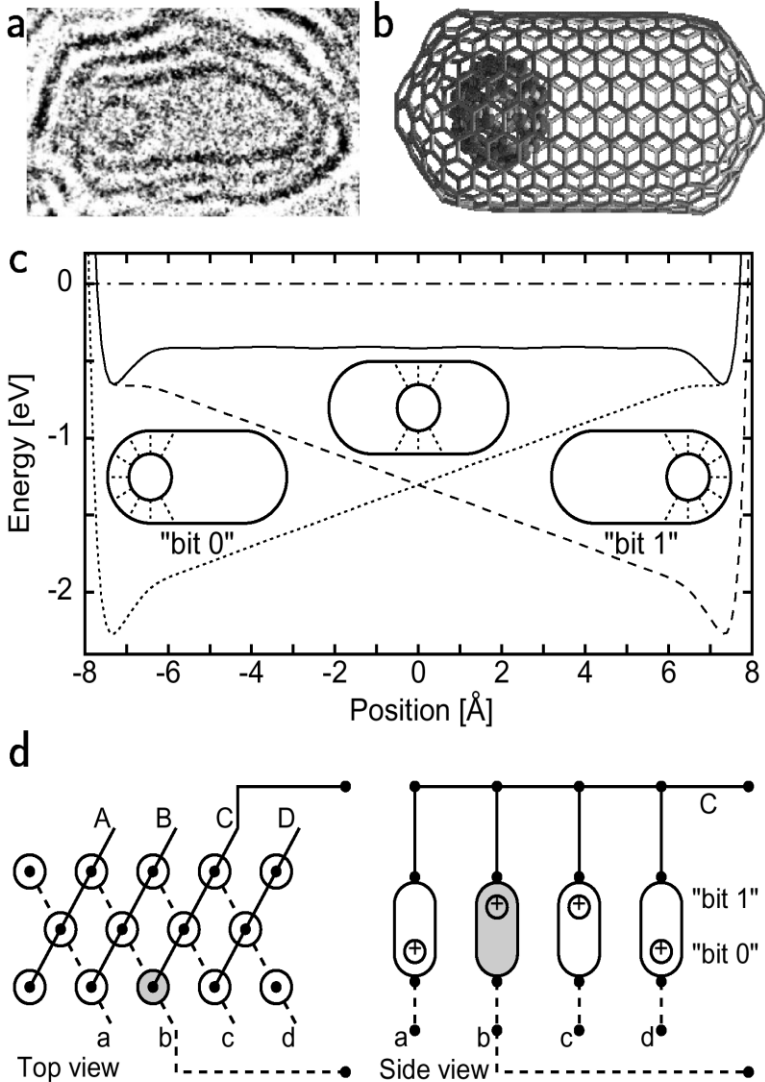
Model II

Min Ouyang *et al.*,
Science **291**, 97 (2001)

CNT transistor

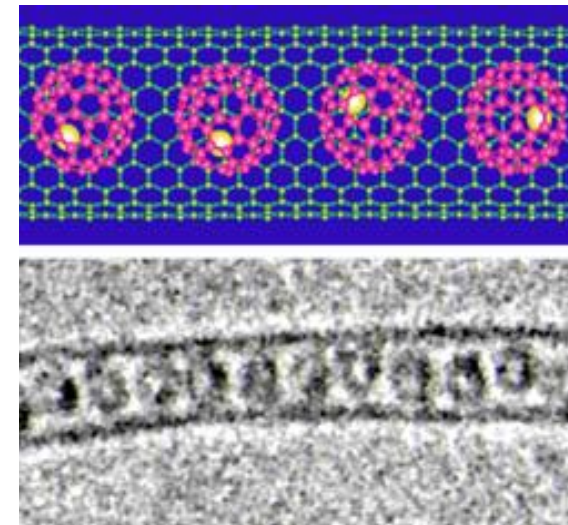


A memory element: the bucky shuttle

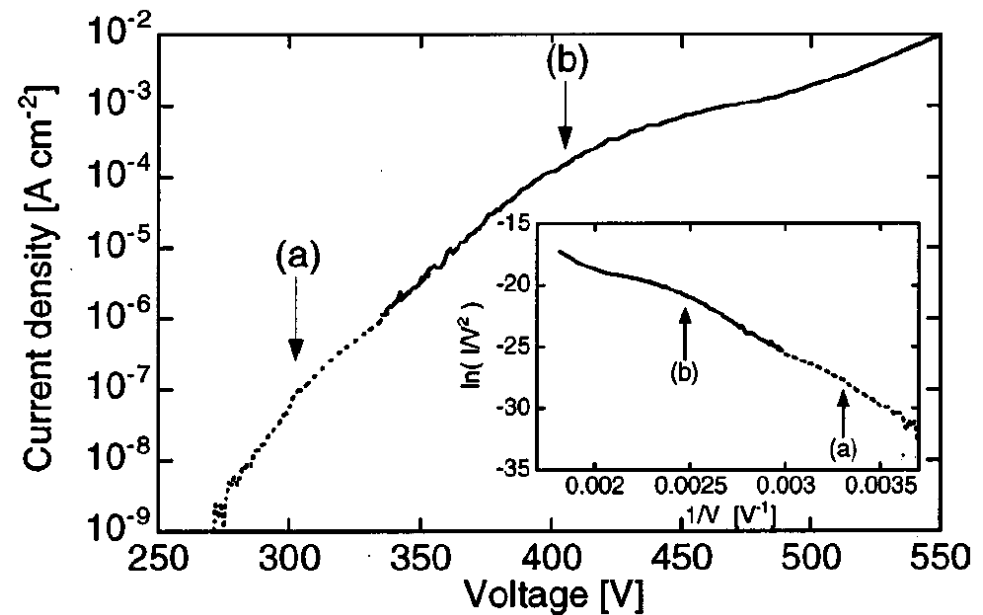
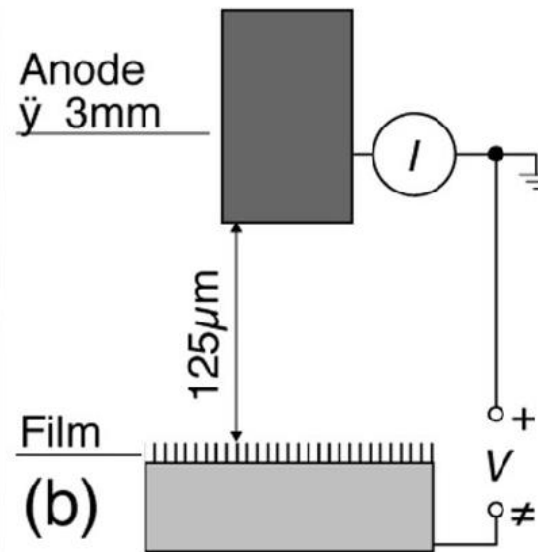
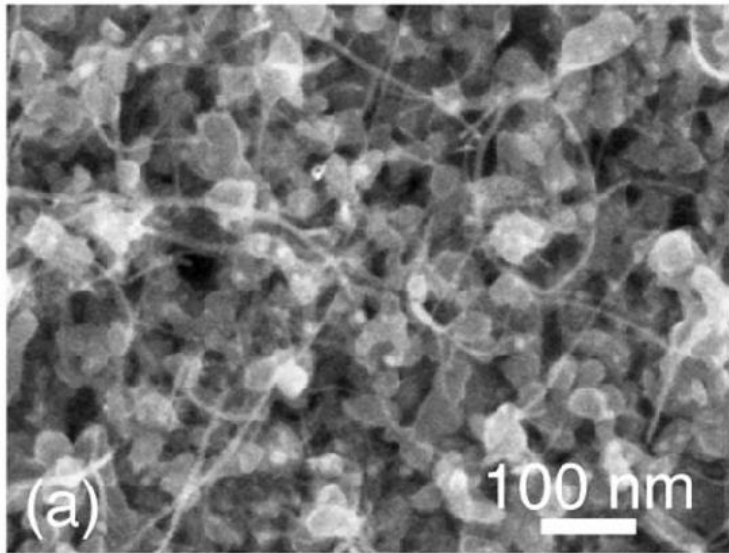


20 ps
switching

A dream?
No, CNT can
be filled with
fullerenes: the
CNT-peapods



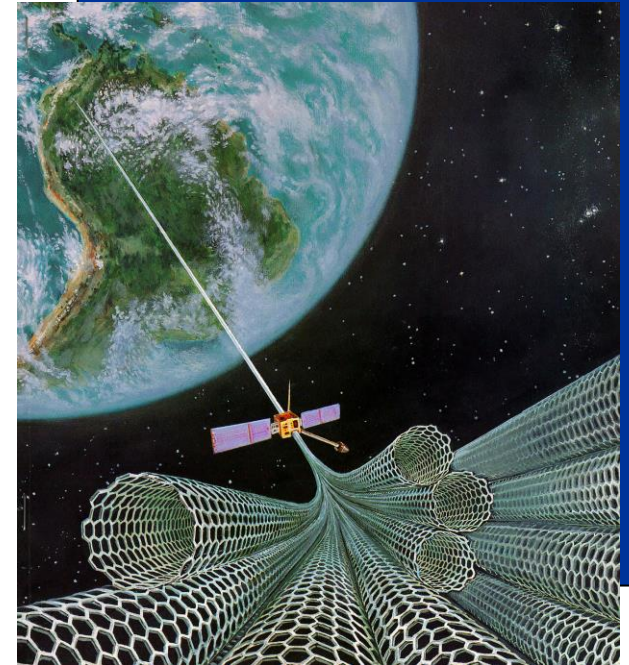
CNT field emission



J-M. Bonard *et al.*,
Applied Phys. Lett. **73**, 918 (1998)

CNT Applications: Structural, Mechanical

- High strength composites
- Cables, tethers, beams
- Multifunctional materials
- Functionalize and use as polymer back bone
 - plastics with enhanced properties like ‘blow molded steel’
- Heat exchangers, radiators, thermal barriers, cryo-tanks
- Radiation shielding
- Filter membranes, supports
- Body armor, space suits

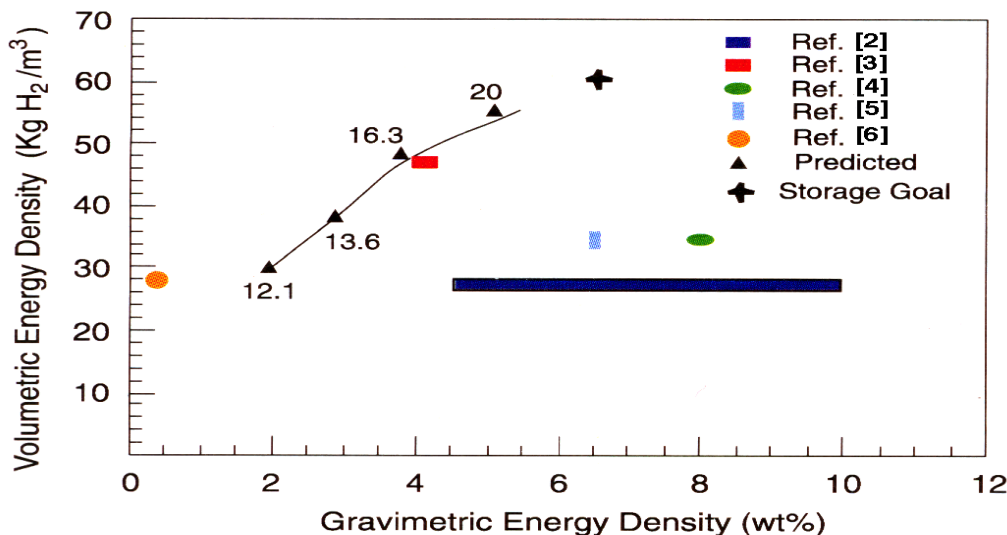
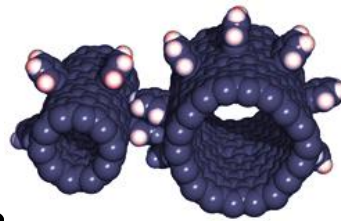


Challenges

- Control of properties, characterization
- Dispersion of CNT homogeneously in host materials
- Large scale production
- Application development

CNT Applications: Sensors, Mol. Mechanics, Biology

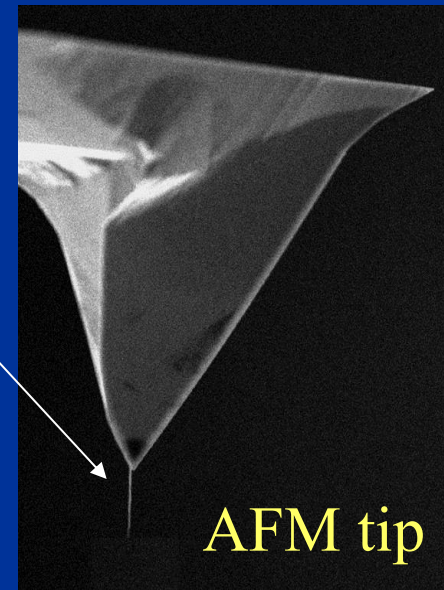
- CNT based microscopy: AFM, STM...
- Nanotube sensors: force, pressure, chemical...
- Biosensors for Astrobiology
- Molecular gears, motors, actuators
- Batteries, Fuel Cells: H_2 , Li storage
- Nanoscale reactors, ion channels



Challenges

- Controlled growth
- Functionalization with probe molecules, robustness
- Integration, signal processing
- Fabrication techniques

CNT



CNT as chemical sensors

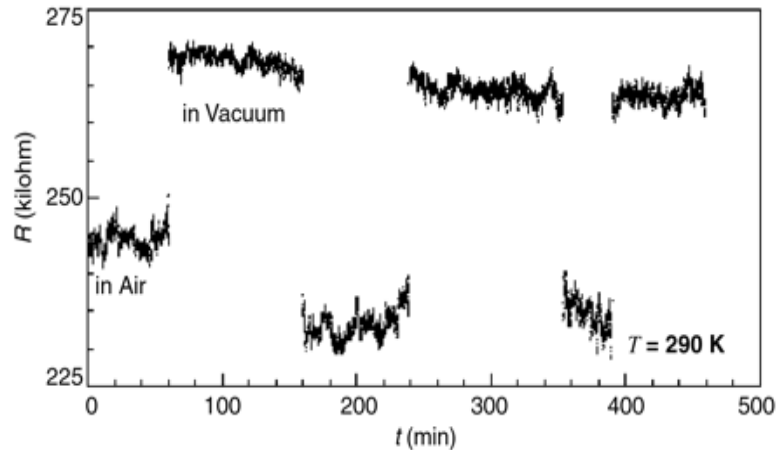


Advantages

- High adsorption surface area
- Changing electrical properties at room temp.
- Detect very small concentrations (ppm) of O_2 , NO_2 , NH_3

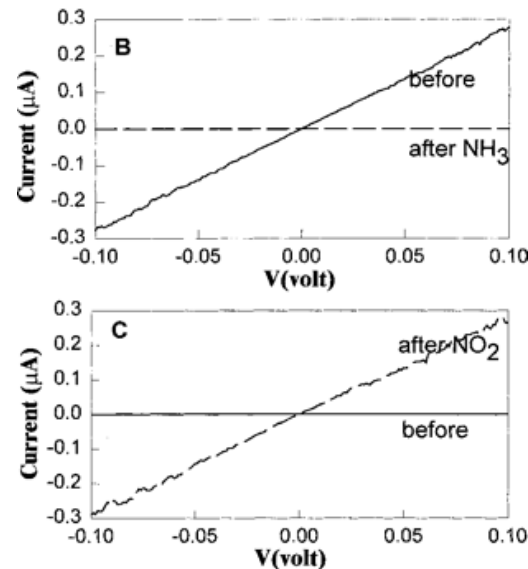
Semiconducting nanotube

Depletion or accumulation of carriers depending on species



Change of electrical resistance of SWNT with exposure to O_2

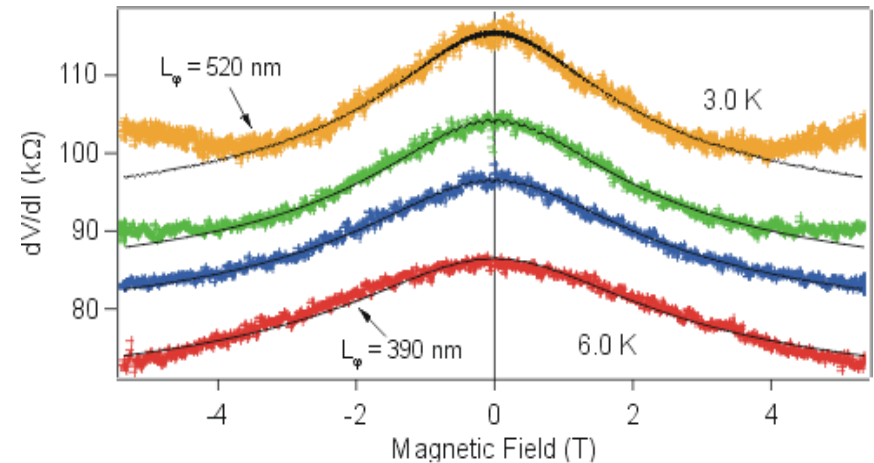
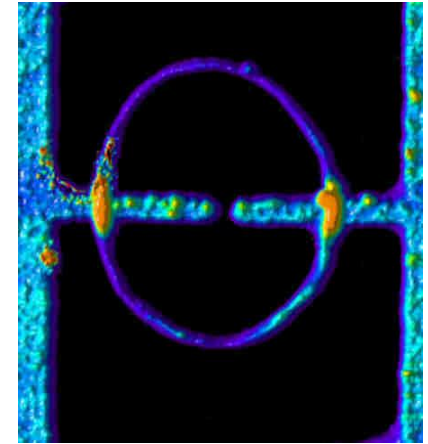
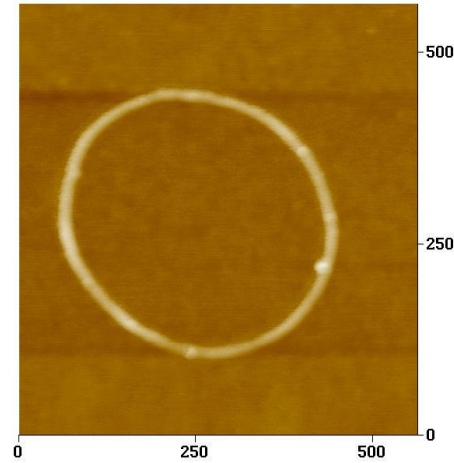
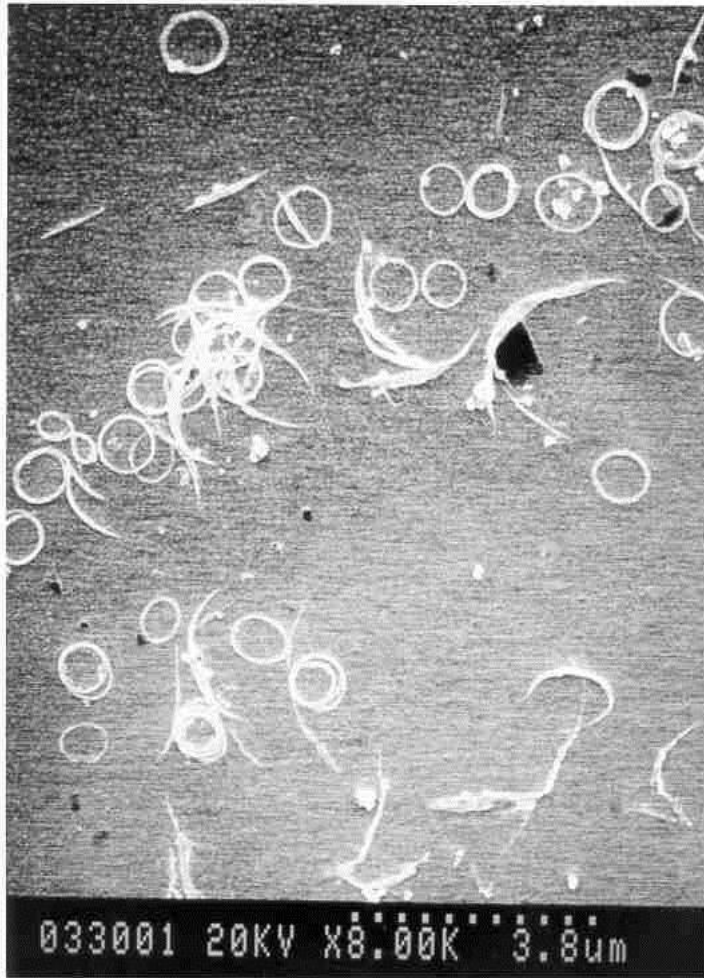
Collins et al., Science (2000)



V-I curves for NH_3 and NO_2 exposure

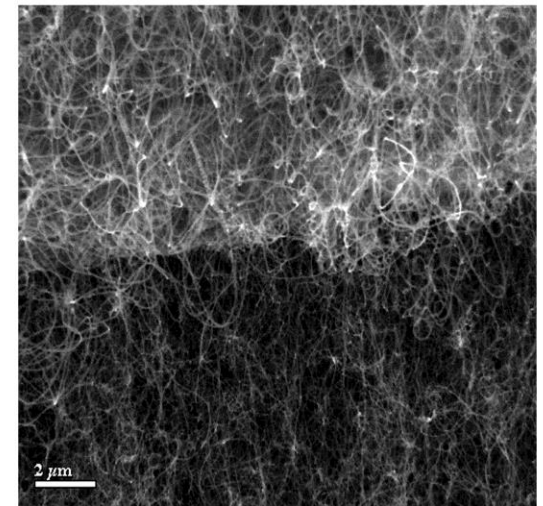
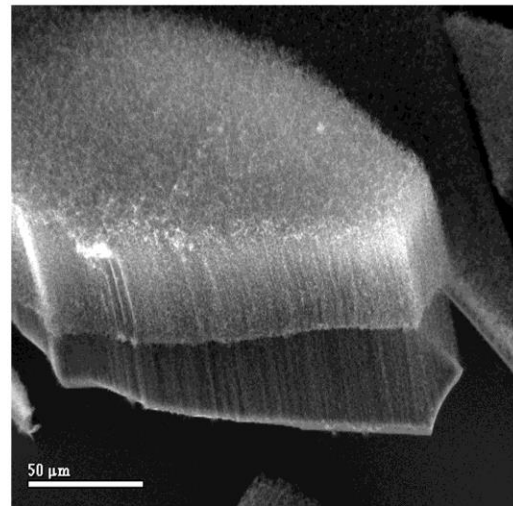
Kong et al., Science (2000)

Nano sensors: CNT coil

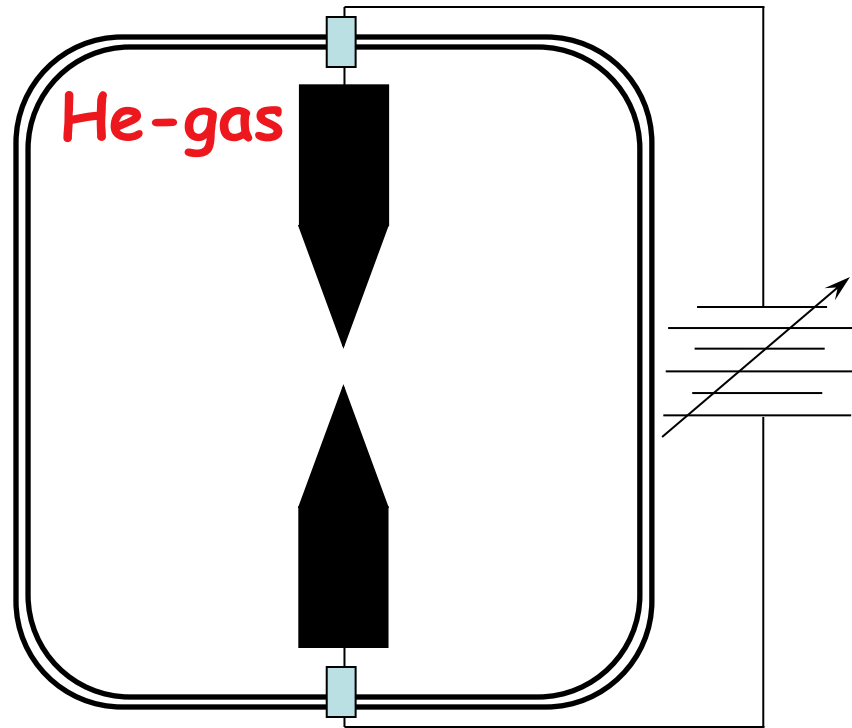


CNT Synthesis

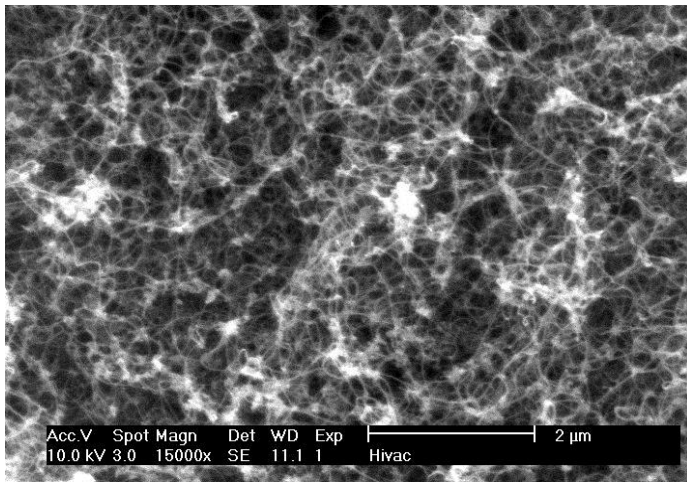
- CNT has been grown by laser ablation (pioneering at Rice) and carbon arc process (NEC, Japan) - early 90s.
 - SWNT, high purity, purification methods
- CVD is ideal for patterned growth (electronics, sensor applications)
 - Well known technique from microelectronics
 - Hydrocarbon feedstock
 - Growth needs catalyst (transition metal)
 - Multiwall tubes at 500-800° deg. C.
 - Numerous parameters influence CNT growth



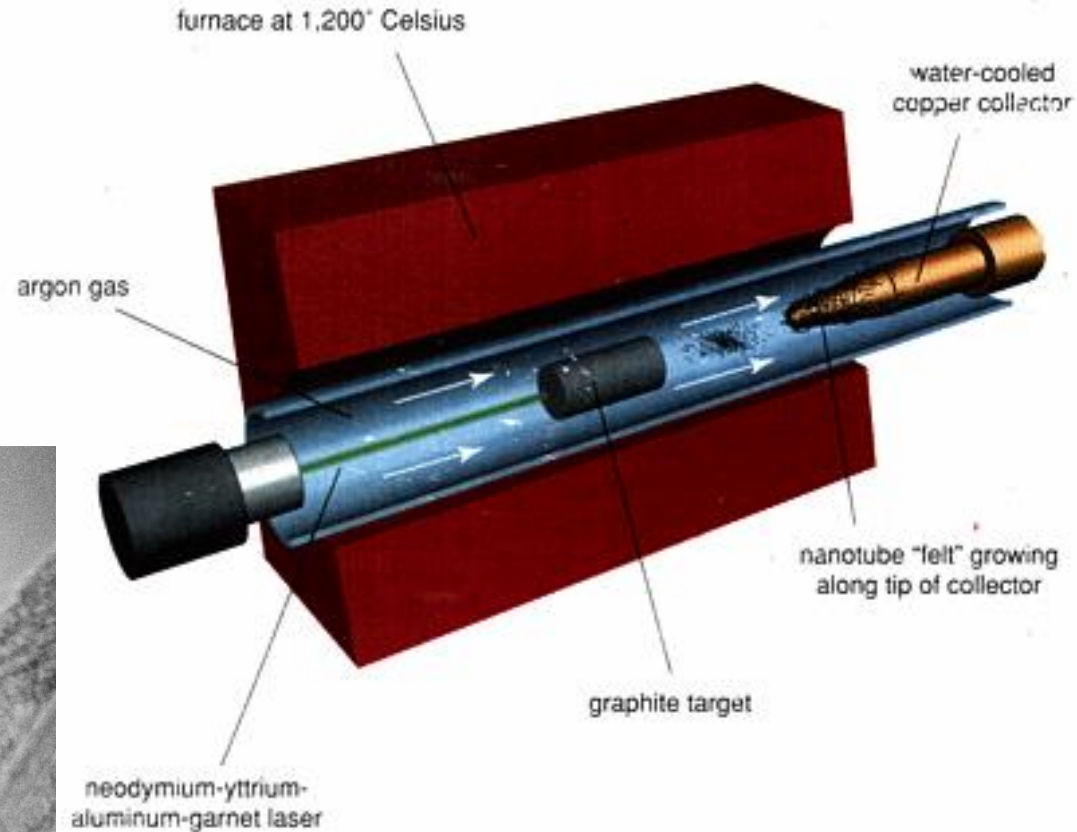
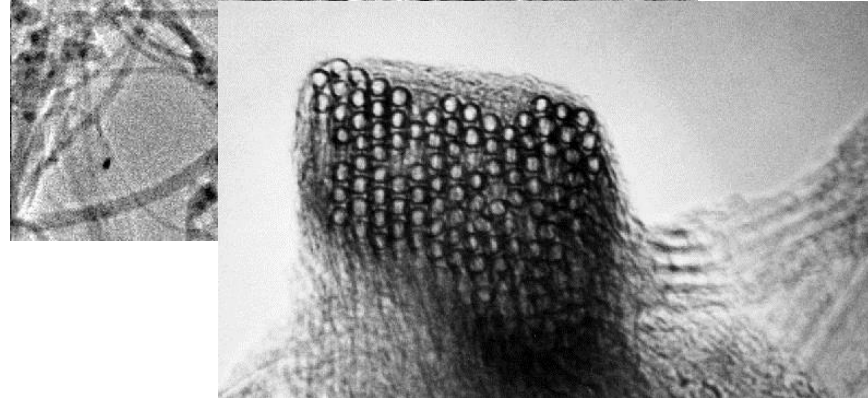
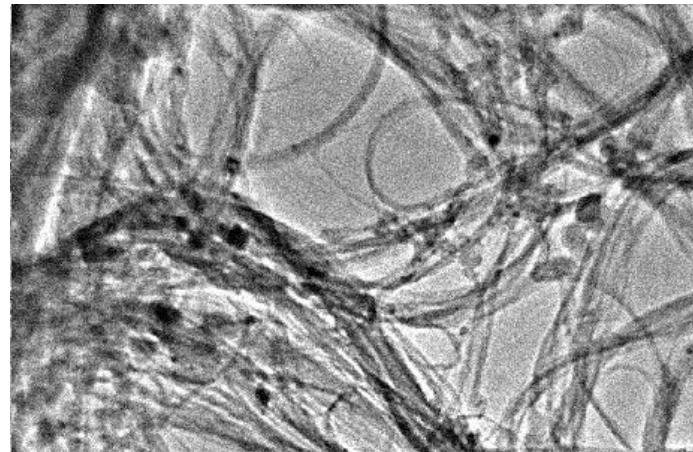
CNT growth by arc-discharge



- Carbon atoms from graphite electrodes are sublimated in a plasma.
- High-quality SWCNTs and MWCNTs in gram quantities.
- Need of a metal (Ni, Co, Fe, ...) catalyst and purification.
- Disordered CNT tangles, amorphous carbon (a-C), fullerenes, etc...



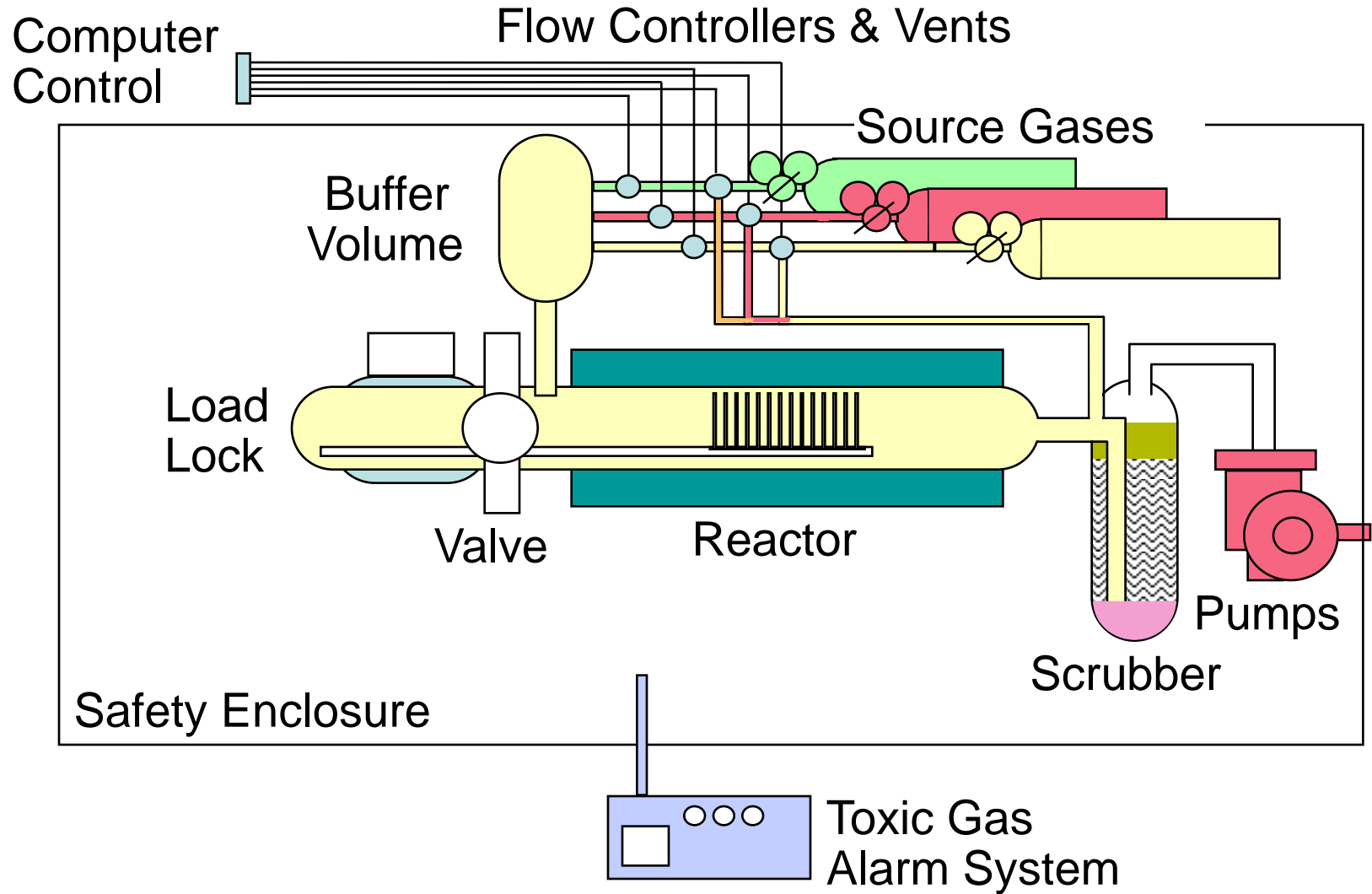
CNT growth by laser-ablation



- Massive production (gr scale) of high-quality SWCNTs assembled in bundles: disordered material, a-C
- Metal catalysts (Ni, Co, Fe...) in the graphite target

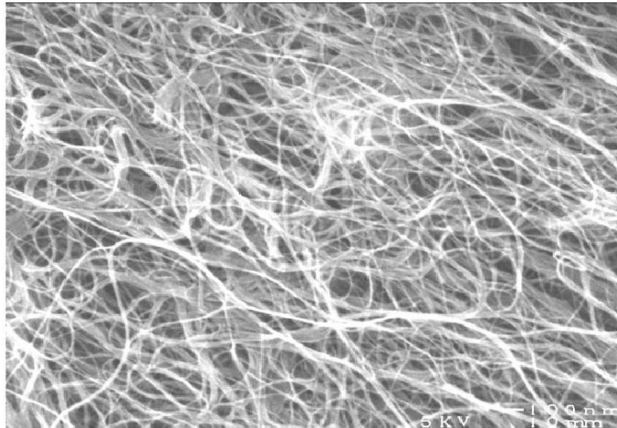
Purification needed

CNT growth by (PE)CVD

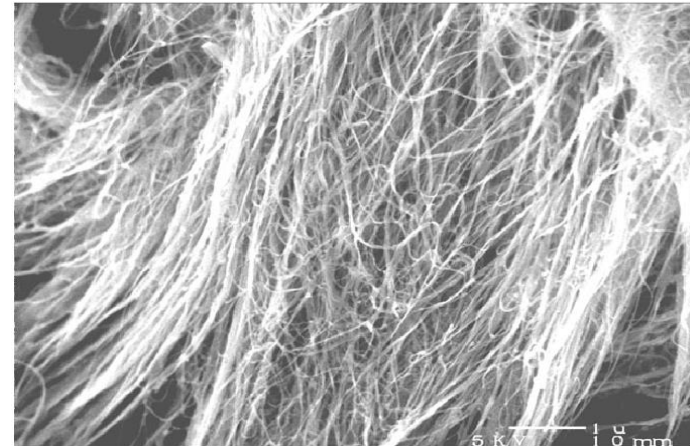


Purification Method for SWCNTs

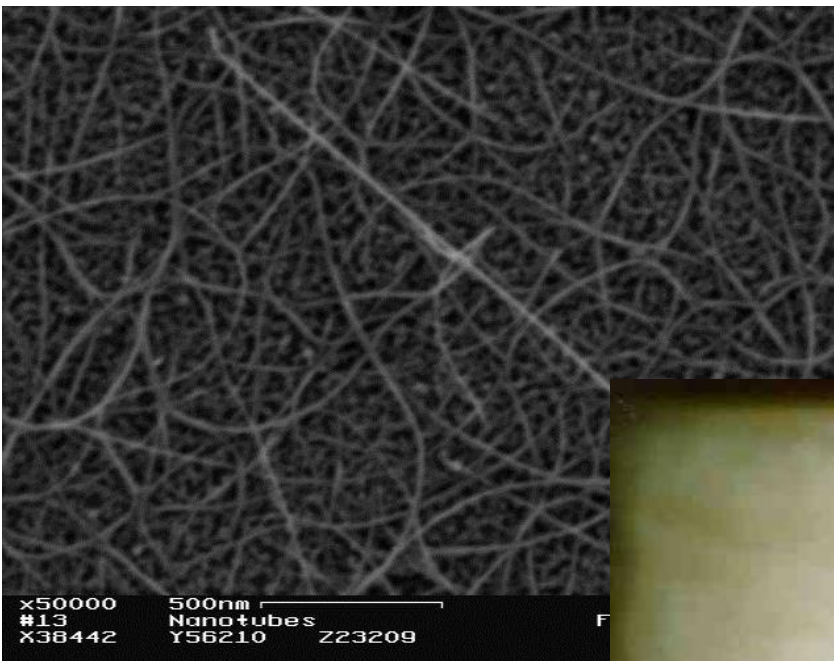
After removing fullerenes via appropriate solvents (typically Toluene), several treatments of the as-grown material in a nitric/sulfuric acid solution to remove the metal catalyst species. Washing in de-ionized water and methanol refluxes. Oxidation in a mixture of sulfuric acid and hydrogen peroxide. Washing in NaOH reflux. SWCNTs can be dissolved/dispersed in NaOH aqueous solutions containing sodium-laureth-sulfate (Triton X-100 surfactant): filtration gives SWCNT bucky-papers



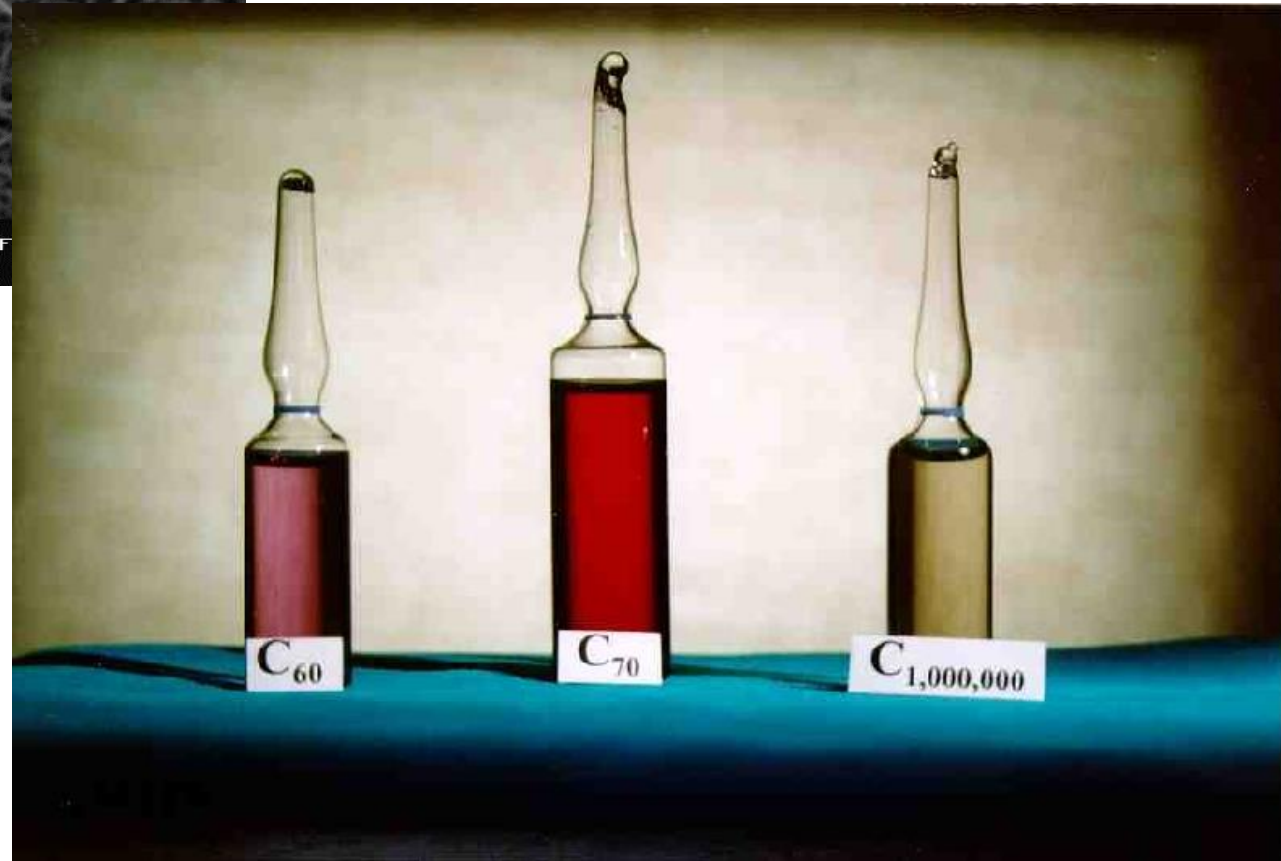
Jie Liu *et al.*,
Science **280**, 1253 (1998)



Purified material

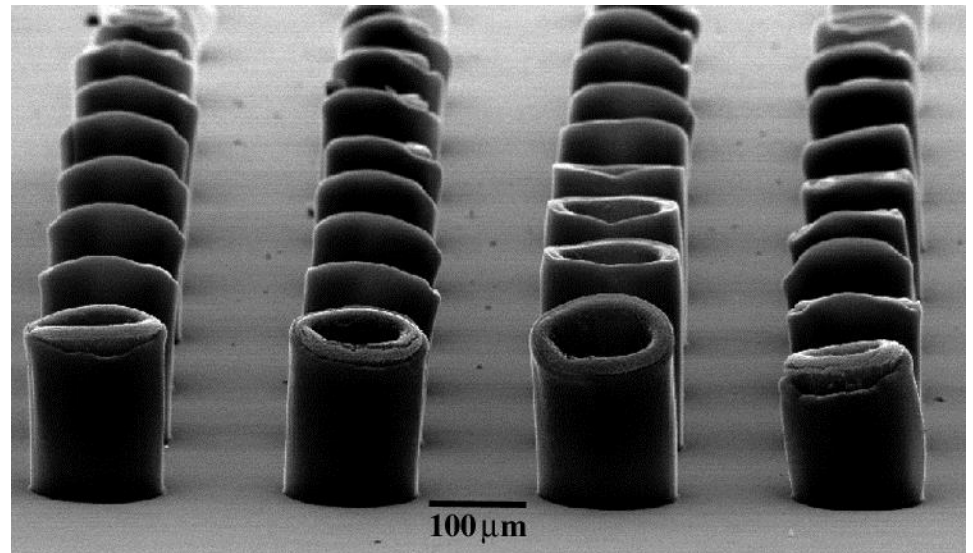
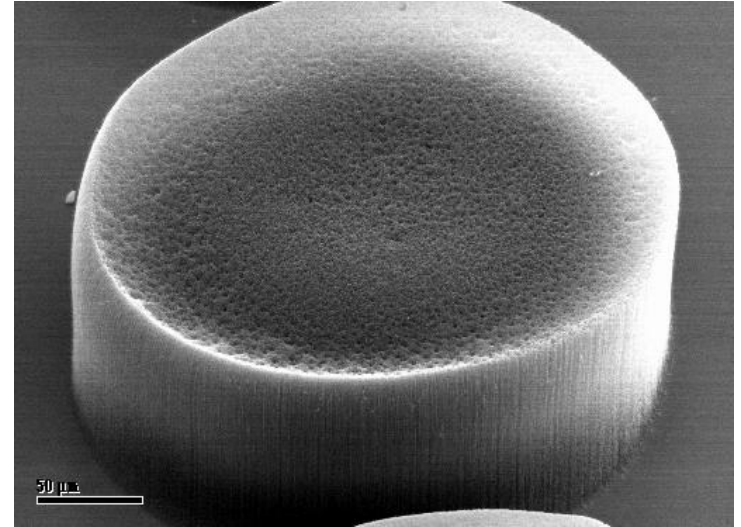
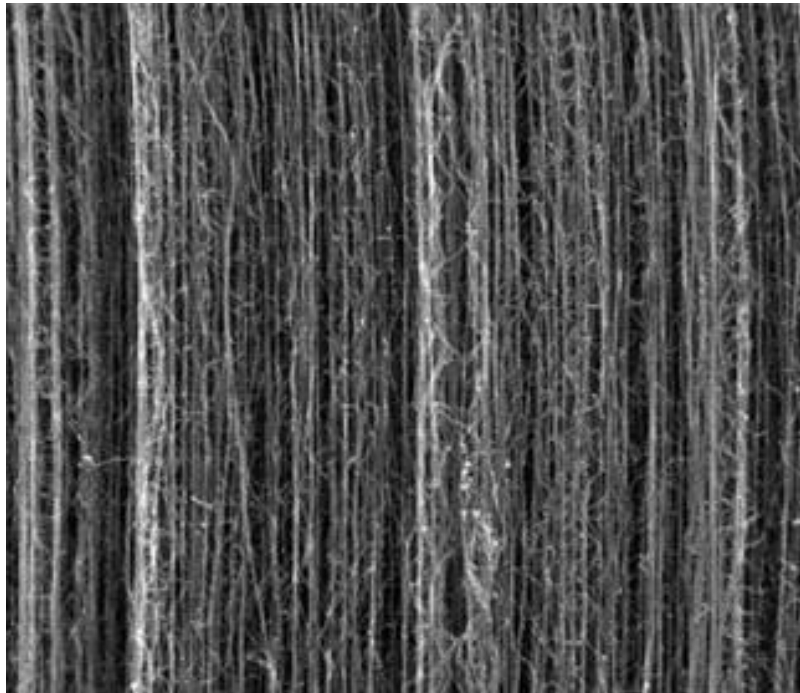
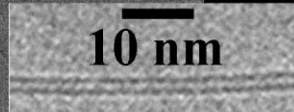
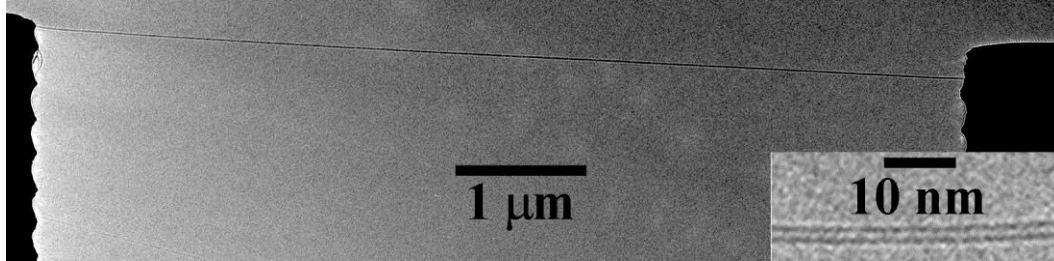


SWCNTs bundles
in a bucky-paper

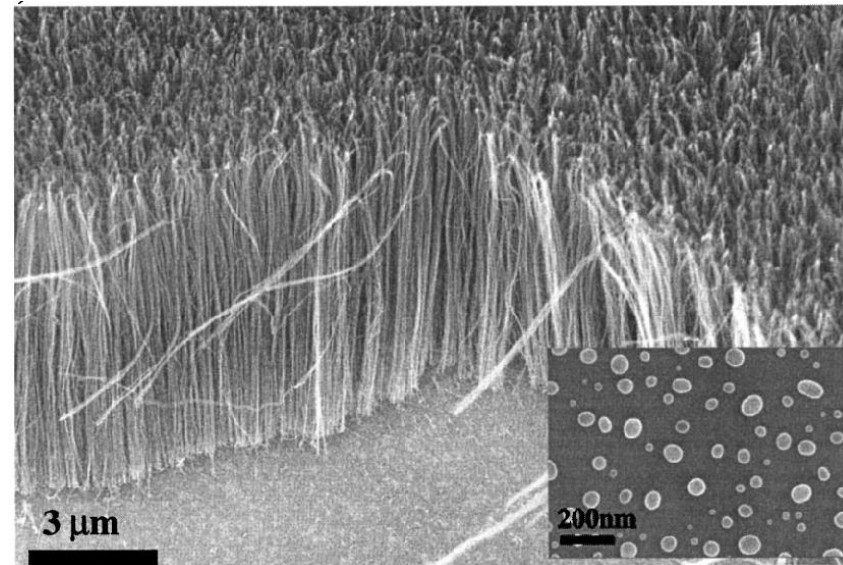
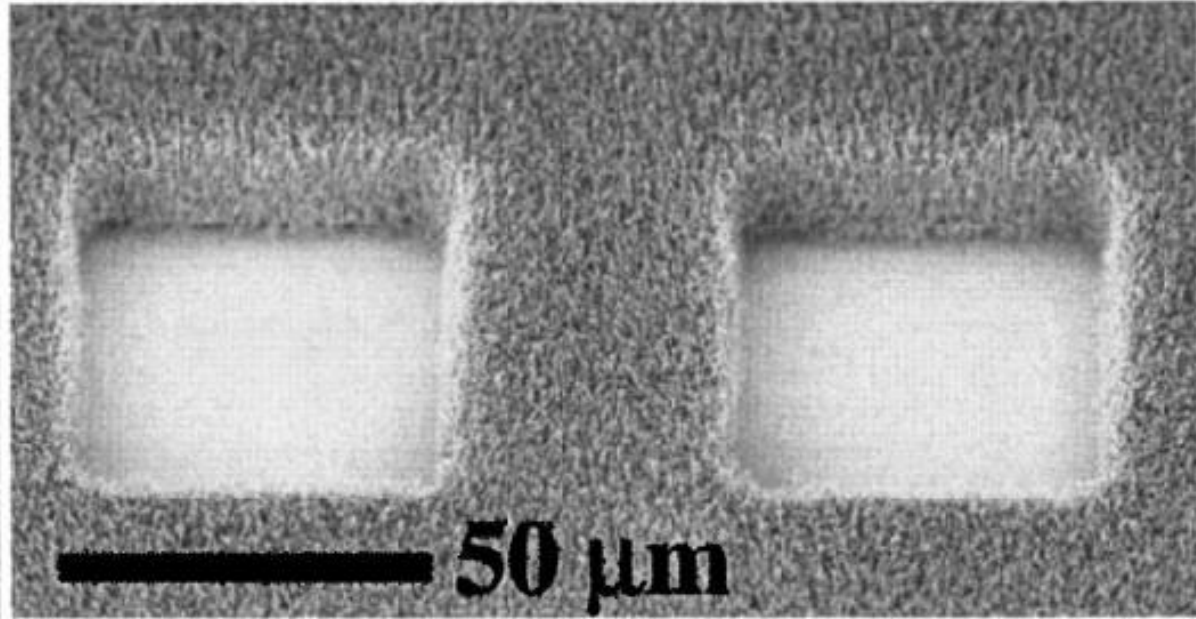
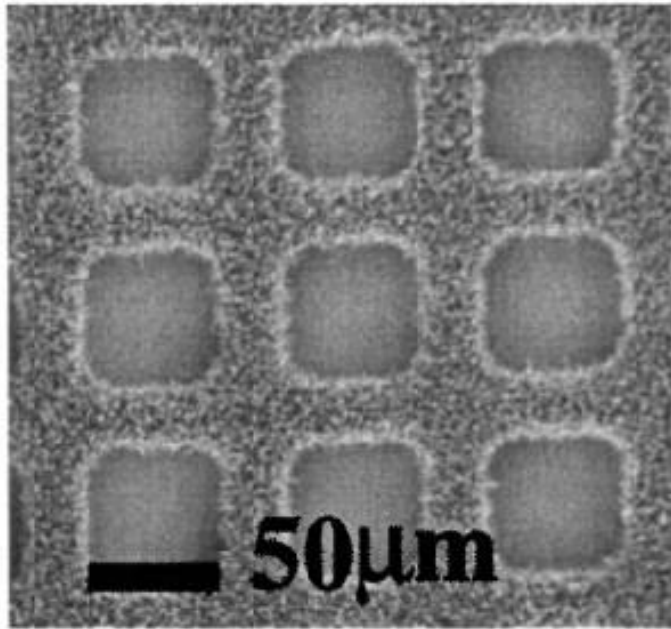


Fullerenes and SWCNTs in solution

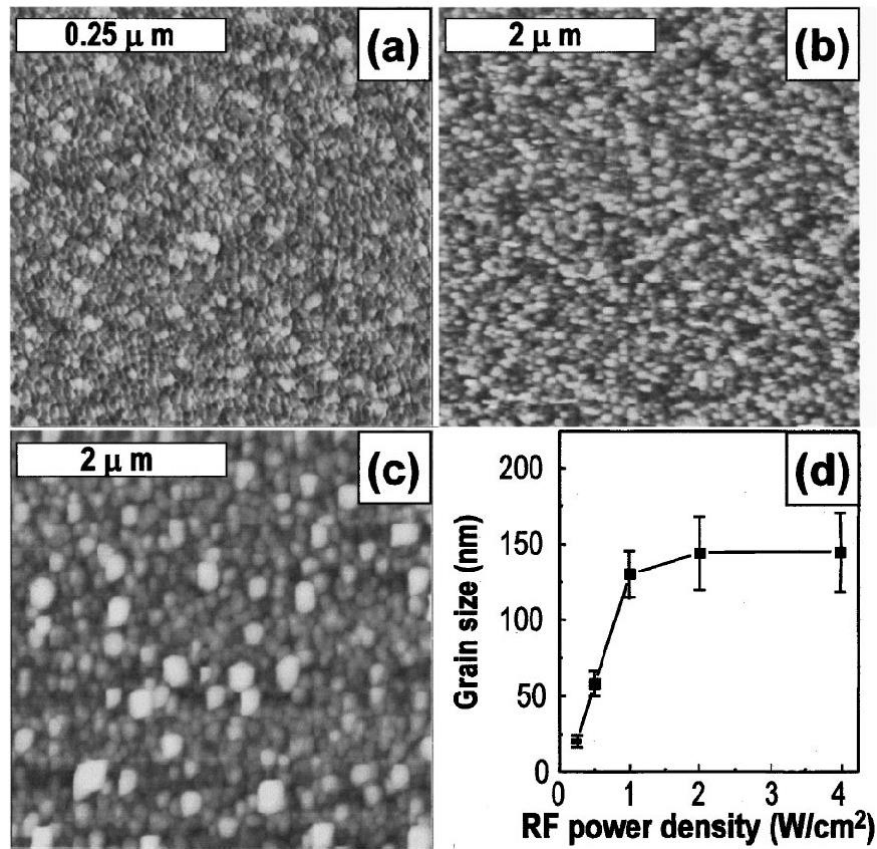
CNT patterned & controlled growth



Nano-Patterning of Aligned MWCNTs

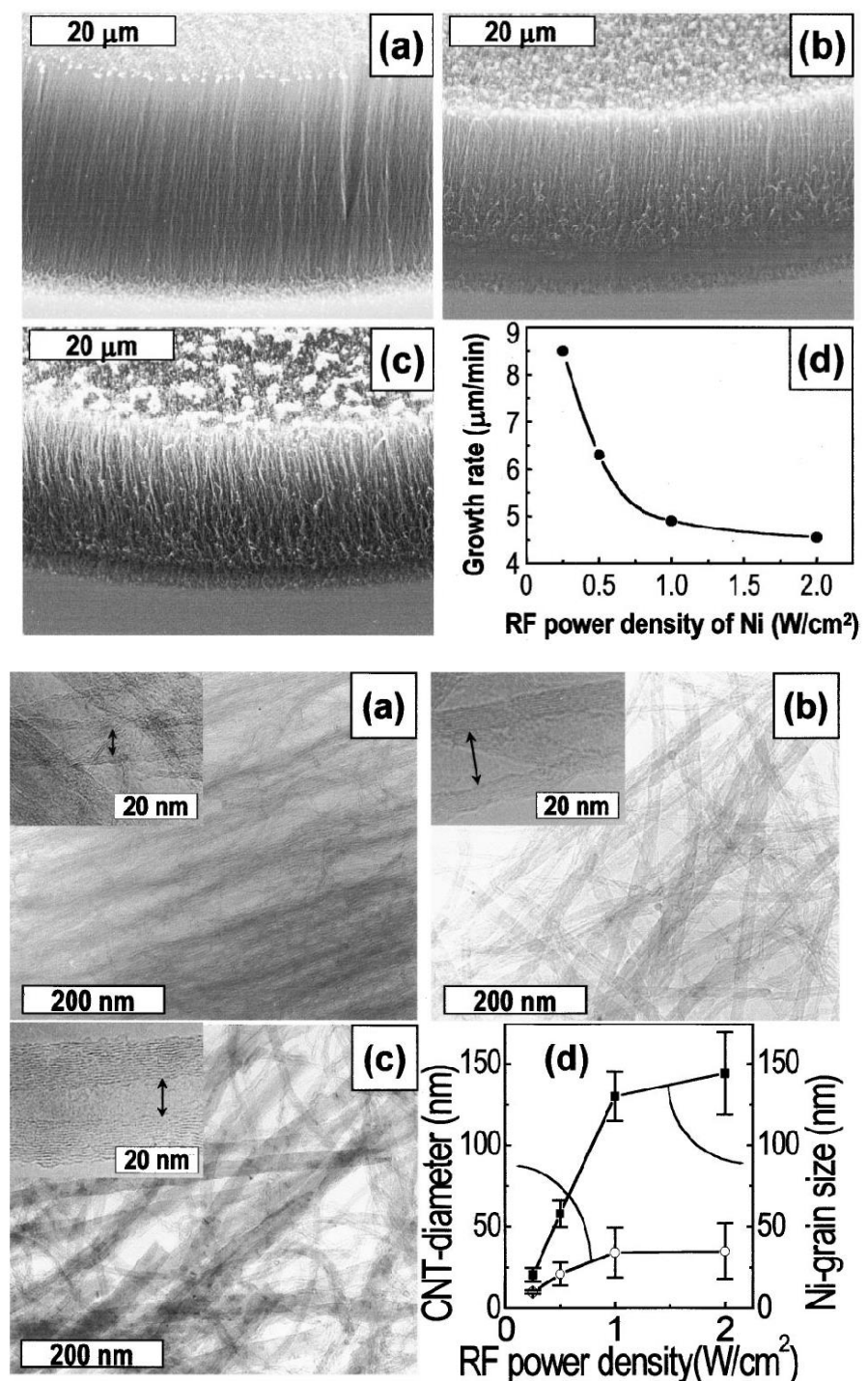


C. Bower *et al.*, Appl. Phys. Lett. **77**, 830 (2000)



Controlling the diameter, growth rate, and density of vertically aligned CNTs synthesized via PECVD

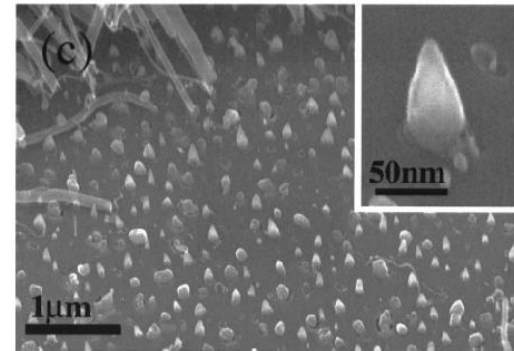
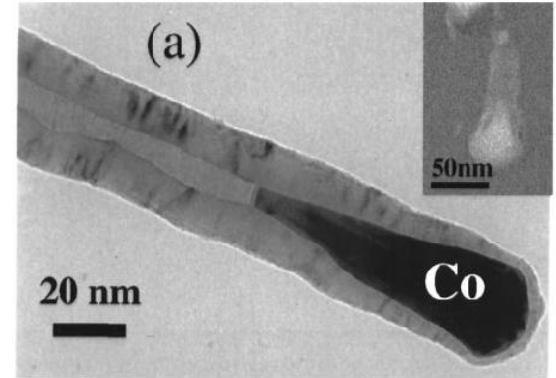
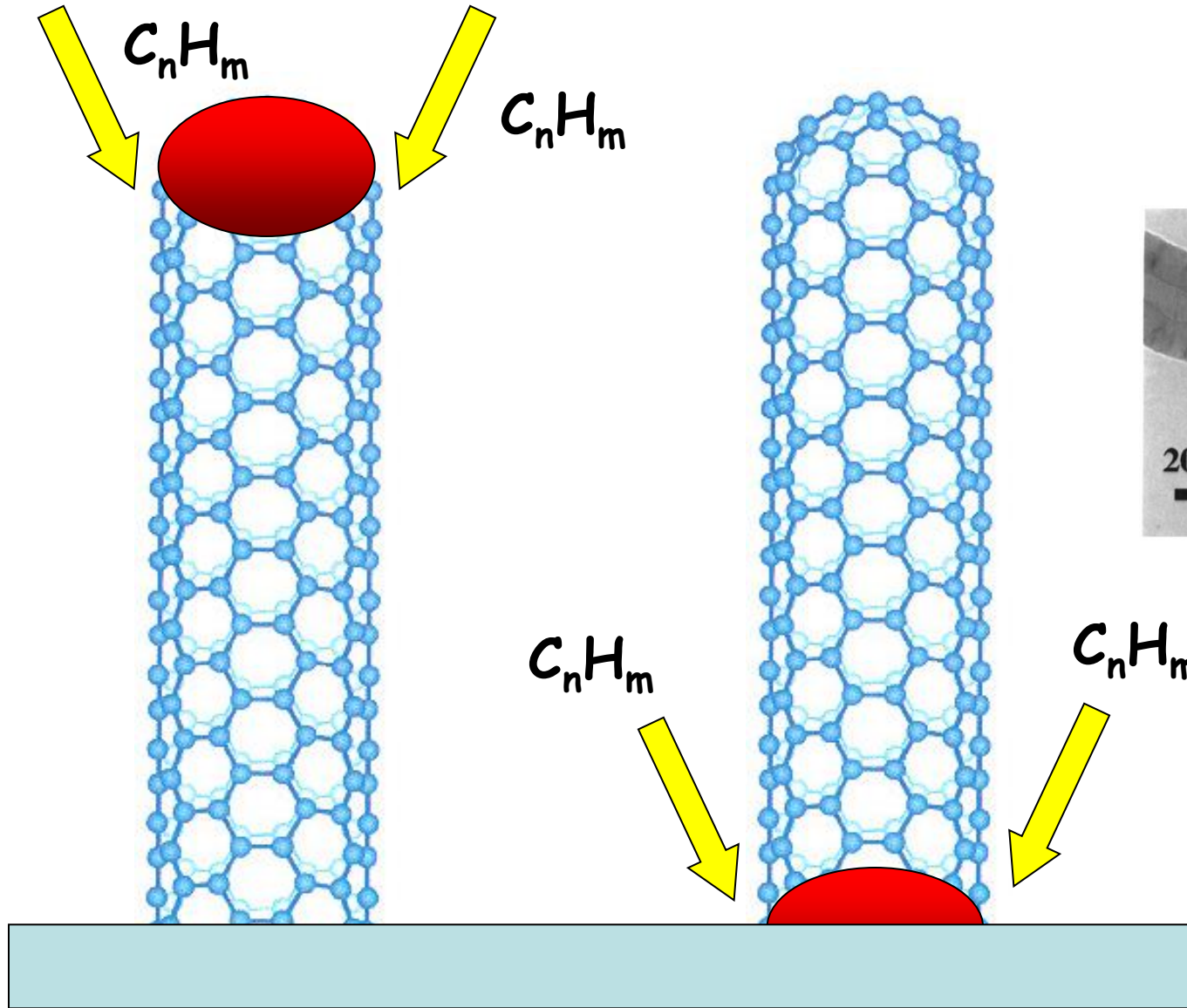
Young Chul Choi *et al.*,
Appl. Phys. Lett. **76**, 2367 (2000)



Base growth mode

Tip growth mode

Chemical Vapor
Deposition

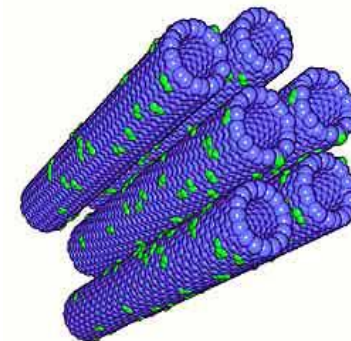


Catalyst Support

C. Bower *et al.*,
Appl. Phys. Lett. **77**, 2767 (2000)



Nanotubes @ ELETTRA: Research Focus



Nanotubes

- *In situ* growth of CNT (with and without catalyst)
- Controlled, patterned growth of CNT
- Interaction/storage of gases in nanotubes bundles (oxygen, hydrogen, NO_2 , HNO_3 ...)
- Real time and nano-spectroscopy studies of growth and electronic properties

Who

ENEA, ELETTRA & TASC-INFM

Lilit (INFN, ELETTRA) & TASC-INFN

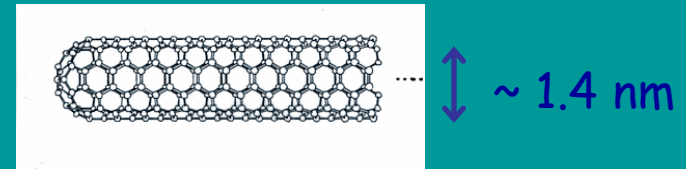
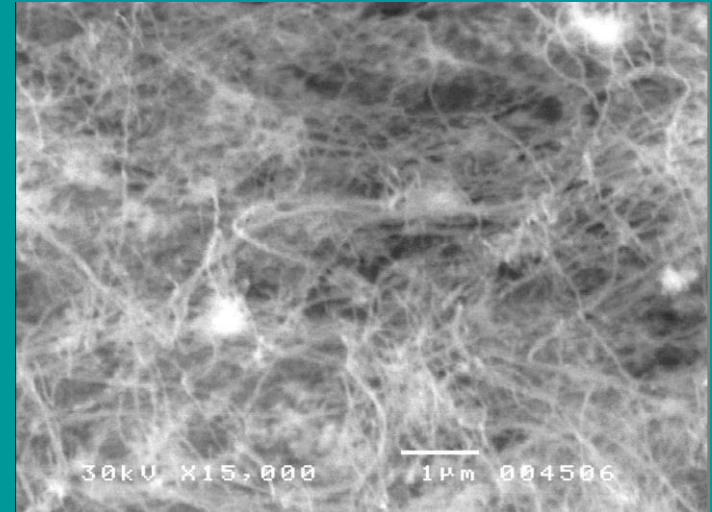
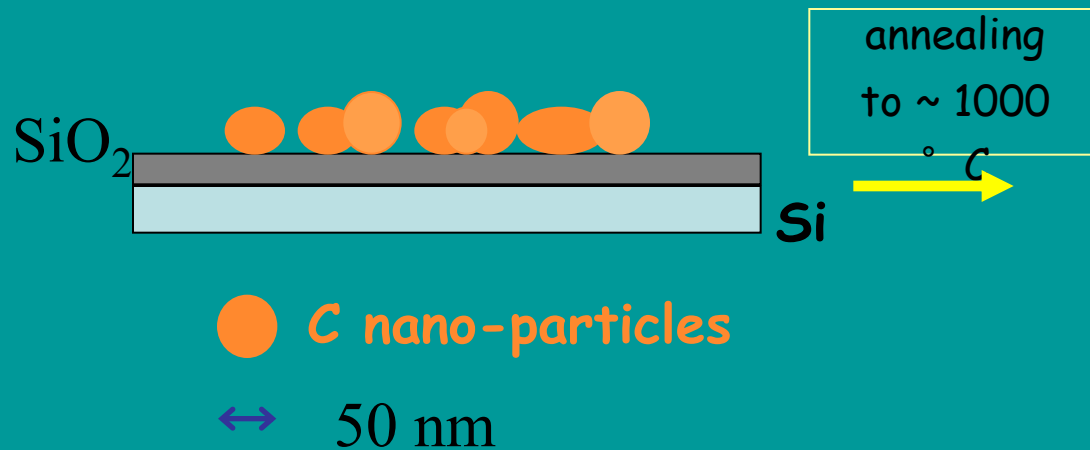
ELETTRA (SuperESCA)

ELETTRA(SuperESCA & ESCA microscopy)

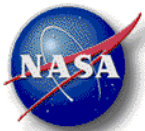
From C nano-particles to single wall nanotubes

Bundles of SWCNTs

S. Botti et al., Appl. Phys. Lett. 80, 1441 (2002)



- What we can learn about the carbon nano-particles?
- How does the C atom reorganization work?
- Does the Si substrate play any role?



What is ahead?

NASA news



NASA Nanotechnology Roadmap

C A P A B I L I T Y

Multi-Functional Materials



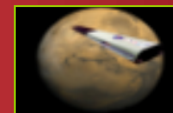
High Strength Materials (>10 GPa)



Reusable Launch Vehicle (20% less mass, 20% less noise)



Revolutionary Aircraft Concepts (30% less mass, 20% less emission, 25% increased range)



Autonomous Spacecraft (40% less mass)



Adaptive Self-Repairing Space Missions

Bio-Inspired Materials and Processes



Materials

- Single-walled nanotube fibers
- Nanotube composites
- Integral thermal/shape control
- Smart "skin" materials
- Biomimetic material systems

Electronics/computing

- Low-Power CNT electronic components
- Molecular computing/data storage
- Fault/radiation tolerant electronics
- Nano electronic "brain" for space Exploration
- Biological computing

Sensors, s/c components

- In-space nanoprobes
- Nano flight system components
- Quantum navigation sensors
- Integrated nanosensor systems
- NEMS flight systems @ 1 μ W

2002

2004

2006

2011

2016





Nanoelectronics and Computing Roadmap

Impact on Space Transportation, Space Science and Earth Science

2002

2005

2010

2015



Nano-electronic
components



RLV



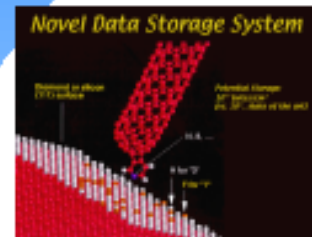
Europa Sub



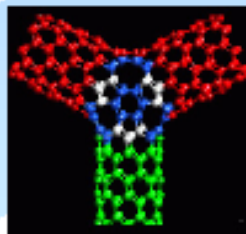
Robot Colony



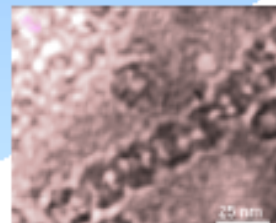
Sensor Web



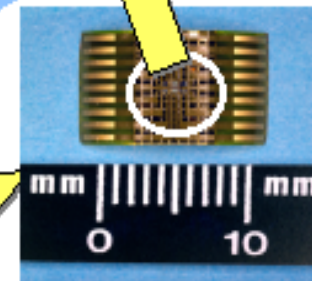
Ultra high density
storage



CNT Devices



Biological Molecules



Biomimetic ,
radiation resistant
molecular computing

Compute Capacity

Nano-Materials Roadmap

Impact on Space Transportation, Space Science and HEDS

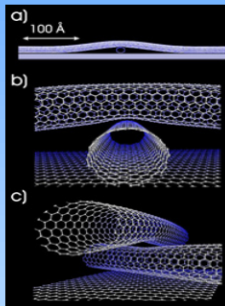
2002

2005

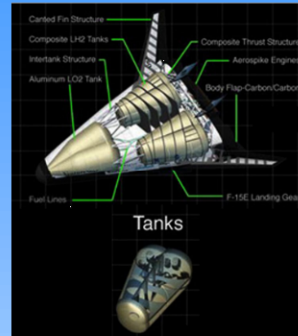
2010

2015

Mission Complexity ↑



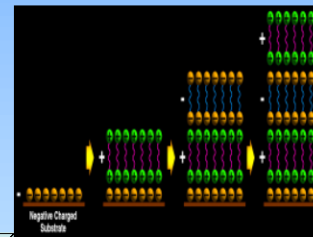
Production of single CNT



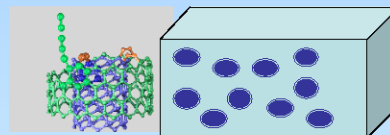
RLV Cryo Tanks



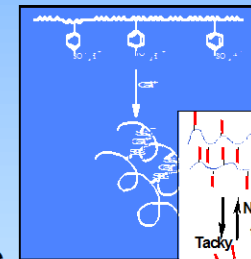
CNT Tethers



SELF-ASSEMBLING MATERIALS

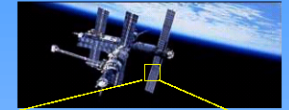


NANOTUBE COMPOSITES

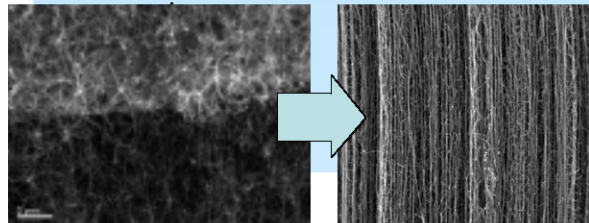


SELF-HEALING MATERIALS

Generation 3 RLV
HEDS Habitats



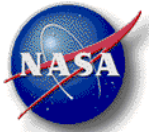
MULTIFUNCTIONAL MATERIALS



Nanotextiles

Strong Smart Structures

CNT = Carbon Nanotubes



The audacious space elevator

